

Annual Report 2000

The Permanente Medical Group, Inc. and Kaiser Foundation Research Institute



DIVISION OF RESEARCH VISION STATEMENT	
DIVISION OF RESEARCH DIRECTORS	4
DIRECTOR'S REPORT	5
DIVISION OF RESEARCH FUNDING	6
SUMMARY OF RESEARCH PROJECTS IN 2000	7
AIDS/HIV	
AIR POLLUTION	
ALCOHOL AND OTHER DRUGS (also see HEALTH SERVICES)	
CANCER (by type) (also see HEALTH SERVICES and WOMEN'S HEALTH)	
Breast Cancer	
Cervical Cancer	
Colorectal Cancer	
Prostate Cancer	
CARDIOVASCULAR DISEASE (also see Women's Health)	
DIABETES (also see Women's Health)	
HEALTH AND HEALTH CARE IN THE ELDERLY	
HEALTH SERVICES (also see Alcohol and Other Drugs, Cancer, Women's Health)	
Disease Management	
Cost/Cost-effectiveness Studies	
Primary Care	
Screening	
INFECTIOUS DISEASES AND VACCINES, EXCLUDING AIDS/HIV	
MENTAL HEALTH	
NEUROLOGICAL DISEASE	
PREGNANCY AND CHILD HEALTH	
WOMEN'S HEALTH (also see CANCER, Cardiovascular Disease, Diabetes, Health Services)	
OTHEROTHER	
DOR INVESTIGATORS, THEIR AREAS OF EXPERTISE AND CURRENT PROJECTS	
Annette E. Aalborg, DrPH	
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Enid M. Hunkeler, MA	
Carlos Iribarren, MD, MPH, PhD	
Theodore R. Levin, MD	
De-Kun Li, MD, PhD	
M. Michele Manos, PhD, MPH	48

Charles P. Quesenberry, Jr., PhD	49
Catherine Schaefer, PhD	49
Donna M. Schaffer, RD, MPH	49
Joseph V. Selby, MD, MPH	50
Stephen Sidney, MD, MPH	50
Krikor Soghikian, MD, MPH	51
Carol P. Somkin, PhD	
Barbara Sternfeld, PhD	52
Joseph F. Terdiman, MD, PhD	
Stephen K. Van Den Eeden, PhD	
Lawrence J. Walter, MA	
Constance M. Weisner, DrPH, MSW	54
ADJUNCT INVESTIGATORS, THEIR AREAS OF EXPERTISE AND CURRENT PROJECTS	
DOR Research Clinics	57
SERVICES PROVIDED AND STUDIES CONDUCTED FOR KAISER PERMANENTE IN 2000	58
KAISER FOUNDATION HOSPITALS (KFH) - FUNDED RESEARCH ACTIVITIES	
OTHER SERVICES	
LIST OF PUBLICATIONS FOR 2000	63

DIVISION OF RESEARCH VISION STATEMENT

The Division of Research aims to conduct, publish, and disseminate high-quality epidemiologic and health services research to improve the health and medical care of Kaiser Permanente members and the society at large. It seeks to understand the determinants of illness and well-being and to improve the quality and cost-effectiveness of health care. To accomplish these goals, the Division of Research is committed to providing a supportive research environment that fosters independent thinking, creativity, continued learning and adherence to the highest scientific standards.

DIVISION OF RESEARCH DIRECTORS



DIRECTOR'S REPORT

This 2000 Annual Report chronicles the 40th year in the life of Kaiser Permanente Northern California's Division of Research. DOR's longevity and success are attributable first and foremost to the training, diligence and teamwork of its 40 scientists and 300 staff members. DOR has also benefited from the constant support of Kaiser Permanente leaders, who have understood the advantages of having an internal public-domain research center; and from the unique research opportunities that arise within an integrated health care system, its population of more than 3,000,000 members, 4000 clinicians in all specialties, and ever-improving clinical data bases.

Several noteworthy events touched DOR in 2000. Two new investigators joined the DOR community. Dr. Andrew Avins, formerly an assistant professor in the Departments of Medicine and Epidemiology at the School of Medicine, University of California San Francisco, became the Divison's Associate Director for Healthcare Effectiveness Research. Dr. Avins' research interests include preventive medicine, complementary and alternative medicine, and cardiovascular diseases. Among his responsibilities will be facilitation of the interface between DOR and TPMG clinician and organizational leaders and coordination of DOR's Healthcare Effectiveness Section. Dr. Lisa Croen, an epidemiologist who was formerly with the California Birth Defects Monitoring Program, joined our Perinatal Research Unit. Dr. Croen's research interests focus on pre- and perinatal risk factors for congenital disorders of childhood. 2000 also witnessed establishment of DOR's first formal research traineeship program. In collaboration with the University of California at San Francisco, DOR was funded by the National Institutes of Health to support one to two post-doctoral researchers annually to pursue research in the area of women's health.

The year 2000 will also be remembered at DOR as the year of strategic planning. Fifty three staff members, organized into a coordinating committee and 8 subcommittees, set new directions for the department that are transforming DOR internally, establishing an infrastructure for more formal planning of research directions, and clarifying our relationships with the larger Kaiser Permanente organization and the academic community.

DOR's research portfolio continues to grow, now amounting to nearly \$25 million per year in directly funded research activities. Research topics cover a broad range of acute and chronic illnesses and a variety of research disciplines. Science is increasingly a collaborative effort among investigators with specialized expertise. A growing proportion of DOR studies involves collaborations with colleagues from government, academic institutions, other HMO research centers, and industry.

This report is organized by research topic and also by investigator. I invite you to use it to gain an understanding of the breadth and depth of the DOR research enterprise, or perhaps to find the researcher most appropriate for a collaboration you would like to pursue.

Joseph V. Selby, MD, MPH May 2001

DIVISION OF RESEARCH FUNDING 1994 - 2000

	1994	1995	1996	1997	1998	1999	2000
		INTERNA	AL FUNDIN	G			
KFH/KFHP							
Community Services/Direc	t Community Be	enefit Investment	Program				
Clinical Research	\$601,007	\$522,910	\$635,690	\$494,770	\$533,070	\$587,118	\$713,907
Health Services	589,000	530,100	504,000	501,158	501,158	475,950	475,949
Other	192,550	355,680	267,500	234,845	266,748	371,384	400,000
TPMG Research							
Innovations	278,994	776,677	1,084,818	1,425,386	753,243	370,976	178,911
Non-physician Personnel	1,069,042	1,638,246	1,607,044	1,714,440	1,001,426	1,635,093	1,401,221
Physician Personnel 1	1,155,531	1,555,531	915,967	1,151,030	1,834,536	636,416	780,154
Non-personnel	138,352	154,718	137,346	190,822	145,370	149,290	266,551
_		EXTERN	AL FUNDIN	G			
Federal	5,521,996	7,213,852	6,650,807	7,707,446	10,229,350	10,632,450	12,226,190
Pharmaceutical	3,575,533	2,457,792	3,026,857	3,351,721	3,964,573	3,203,072	3,613,583
Other ²	2,178,725	1,637,567	2,320,279	2,494,133	2,281,495	2,889,410	4,685,608
TOTAL	\$15,300,730	\$16,443,073	\$17,060,308	\$19,445,751	\$21,510,996	\$20,931,159	\$24,742,074

EMPLOYEES								
	1994	1995	1996	1997	1998	1999	2000	
Total Number	230	228	268	310	330	310	333	
Full Time Equivalents ³	166	164	193	245	247	242	266	

Total MD allocation for year, of which a significant portion is recharged to other funding sources and credited to TPMG
 Includes foundations, subcontract from universities
 Estimates

SUMMARY OF RESEARCH PROJECTS IN 2000

AIDS/HIV

Fat Redistribution and Metabolic Change in HIV Infection (FRAM)

Source of Funding: Northern California Institute for Research Education

Total Project Period: October 1999 – August 2001 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: A cross-sectional study of the prevalence of "peripheral lipodystrophy" syndrome in

HIV infected patients, including fat redistribution, insulin resistance, and pre-atherogenic

hyperlipidemia.

HIV-1 Antiretroviral Treatment: Cost-Effectiveness of Triple Combination therapy Vs Dual Therapy under a Markov Model

Source of Funding: Pharmacia

Total Project Period: February 1999 – June 2001 Principal Investigator: Gerald N. DeLorenze, PhD

Goals/Objectives: To test a Markov chain model of the cost-benefit and quality of life adjusted cost-benefit

of triple combination therapy relative to dual combination therapy.

HIV-1 Virologic Response and Survival Patterns Associated with Highly Active Antiretroviral Therapy (HAART)

Source of Funding: Universitywide AIDS Research

Total Project Period: July 1999 – June 2001 Principal Investigator: Gerald N. DeLorenze, PhD

Goals/Objectives: To estimate rates of maximum virologic response and virologic treatment failure in HIV

patients under HAART. To determine the distribution of time to AIDS diagnosis and the time to death after initiation of HAART. To measure health care usage trends both pre-

and post HAART.

AIR POLLUTION

Air Pollution and Morbidity and Mortality Among California Kaiser Permanente Members

Source of Funding: Environmental Protection Agency
Total Project Period: October 1995 - September 2001
Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: To evaluate the relationship between ambient air pollution and respiratory and

cardiovascular disease morbidity and mortality among members of Northern and

Southern California Kaiser Permanente.

Air Pollution, Race, SES and Asthma Hospitalization Risk

Source of Funding: National Institute of Environmental Health Sciences

Total Project Period: August 2000 – July 2002 Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This is a case-crossover study to assess the risk of asthma hospitalization that is

associated with exposure to ambient air pollution and how SES, race/ethnicity, and

disease severity modify the association.

Case-Crossover Analysis of Ambient Air Pollution and Cardiopulmonary Hospitalizations of Kaiser Members in Sacramento and Fresno Metropolitan Areas

Source of Funding: American Lung Association
Total Project Period: December 2000 – November 2001
Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This study will examine how exposure to air pollution in the Sacramento and Fresno

areas is associated with asthma hospitalizations. Modification of this association by prior

illness will be examined.

Particulate Air Pollution and Morbidity in the California Central Valley: A High Particulate Pollution Region

Source of Funding: Total Project Period:

California Air Resources Board November 1997 - February 2001 Stephen K. Van Den Feden, PhD

Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: To examine the association of particulate matter air pollution morbidity among Kaiser

Permanente members in the California Central Valley.

Risk of IHD Hospitalization Associated with exposure to Air Pollution

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 2000 – December 2000
Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This study will estimate the risk of an IHD hospitalization associated with exposure to

ambient air pollution.

ALCOHOL AND OTHER DRUGS (also see HEALTH SERVICES)

Alcoholic Beverage Choice and Mortality

Source of Funding: Wine Institute

Total Project Period: July 2000 – December 2001 Principal Investigator: Arthur L. Klatsky, MD

Goals/Objectives: A prospective study of alcohol use and alcoholic beverage choice (wine, liquor and beer)

in relation to 20 year mortality in a cohort of almost 129,000 persons who supplied

baseline data in 1978-85.

Alcohol Drinking and Risk of Cerebrovascular Disease

Source of Funding: National Institute of Alcoholism and Alcohol Abuse

Total Project Period: August 1996 - July 2000 Principal Investigator: Arthur L. Klatsky, MD

Goals/Objectives: To examine prospectively the relationship of all levels of alcohol drinking and beverage

choice to hospitalizations and deaths attributed to CVD after the baseline examination.

Follow-up Study of Medicaid Chemical Dependency Patients in a Private HMO: Do High Medical Costs Come Down Over Time?

Source of Funding: Robert Wood Johnson Foundation
Total Project Period: November 1998 – October 2001
Principal Investigator: Lawrence J. Walter, MA

Goals/Objectives: To describe utilization trends and associated costs of a group of low-income Kaiser

Permanente members in Solano County entering KP's chemical dependency treatment

program.

Impact of Services on Trajectories

Source of Funding: National Institute on Alcohol Abuse and Alcoholism

Total Project Period: August 1994 – June 2004

Principal Investigator: Constance M. Weisner, DrPH, MSW

Goals/Objectives: The aims of the project are: 1) To compare the three primary systems of service delivery,

the public, private indemnity, and health maintenance organization (HMO), investigating patient characteristics and patterns of service utilization among populations entering each type of treatment setting. 2) By expanding on a theoretical framework drawn from the medical literature on help seeking, the study models the process of "treatment entry," testing hypotheses examining why some problem drinkers enter treatment while others do not. Sub-analyses focus on divergences from general patterns of help-seeking among

important demographic groups, including women and ethnic minorities.

Long-term Impact of Day Treatment on Outcome and Cost

Source of Funding: National Institute on Drug Abuse
Total Project Period: September 1993 – August 2004
Principal Investigator: Constance M. Weisner, DrPH, MSW

Goals/Objectives: This study examines determinants of 7-year substance abuse treatment readmission,

substance use and medical services cost and utilization. The original study randomized 1204 individuals entering an HMO's substance abuse treatment program to two treatment intensities, day hospital and traditional outpatient. We study the effects of treatment and other hypothesized influences such as personal characteristics, on long-term outcomes of

these patients.

Outcome and Cost of Day Hospital vs. Outpatient Care

Source of Funding: National Institute of Alcoholism and Alcohol Abuse

Total Project Period: December 1994 – May 2004

Principal Investigator: Constance M. Weisner, DrPH, MSW

Goals/Objectives: This study compares the effects of day hospital treatment and traditional outpatient

treatment for post-treatment outcome and improvement rates in the areas of alcohol consumption, levels of psychosocial functioning, and medical care utilization of the two regimens at five and seven years after treatment entry. It attempts to specify patient characteristics associated with successful treatment outcomes in each setting. Finally, it compares the costs of day hospital and traditional outpatient treatment, and assesses cost-

effectiveness and cost offsets of the two programs.

Pathways to Substance Abuse Treatment in Adolescents in an HMO: Implications for Policy

Source of Funding: Robert Wood Johnson Foundation (subcontract from the University of California,

San Francisco)

Total Project Period: November 1999 – October 2002 Principal Investigator: Constance M. Weisner, DrPH, MSW

Goals/Objectives: This study will examine the access to and utilization of substance abuse services in a

health maintenance organization (HMO) by adolescents. The principal objective is to inform health care policy for adolescents in a managed care setting by describing those identified as substance abusers, identifying successful system pathways and potential barriers to treatment access of those identified, describing pretreatment patterns of health service utilization which may facilitate identification, and characterizing who enters and remains in treatment, thus identifying those at risk for early dropout and the factors

related to dropping out.

Alcohol and Other Drugs (cont.)

Post-Partum Alcohol, Tobacco, and Other Drug Use: Impact of the Early Start Program

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 2000 – December 2001
Principal Investigator: Mary Anne Armstrong, MA

Goals/Objectives: To investigate the post-partum impact of a substance abuse treatment program for

pregnant women (the Early Start Program), in terms of inpatient and outpatient utilization

of health services and substance use one year post delivery.

Primary Care Behavioral Health Risk Screening and Referral

Source of funding: National Institute on Drug Abuse (subcontract from University of California,

San Francisco)

Robert Wood Johnson Foundation
Total Project Period: August 1998 – February 2001
Principal Investigator: Constance M. Weisner, DrPh, MSW

Goals/Objectives: This study will assess the policy implications of screening for substance abuse and

related behavioral health problems in an HMO primary care clinic mobile health van serving the medically indigent. The approach involves brief, user-friendly, low-cost computerized screening instrument (PCscreen) to detect substance abuse and other behavioral health problems. Those with problems are then linked to appropriate

interventions. Policy implications stem from: 1) assessing the effectiveness, 2) assessing the effectiveness of the referral/linkage mechanism, including patient preferences, and 3) examining policy issues serving as barriers or incentives in integrating screening in a

managed care primary care setting.

CANCER (by type) (also see HEALTH SERVICES and WOMEN'S HEALTH)

General

Cancer Epidemiology in a Large Health Care Plan (Outstanding Investigator Grant)

Source of Funding: National Cancer Institute
Total Project Period: June 1989 - March 2001
Principal Investigator: Gary D. Friedman, MD, MS

Goals/Objectives: A multifaceted research program whose main components are: studies of the possible

carcinogenic effects of pharmaceutical drugs, studies of the health effects of cigarette smoking, studies of precursors of cancer using the Kaiser-Orentreich frozen serum bank, evaluation of cancer screening methods and other studies of risk factors for cancer.

Evaluation of Risk Factors for Barrett's Esophagus

Source of Funding: National Institutes of Health
Total Project Period: September 2000 – August 2001
Principal Investigator: Theodore R. Levin, MD

Goals/Objectives: This study will evaluate risk factors for esophageal adenocarcinoma/Barrett's metaplasia

using a large population-based database, explore some reasons for their increasing incidence and provide a cohort for subsequent detailed longitudinal studies.

H. Pylori Phenotype and Stomach Cancer

Source of Funding: National Cancer Institute (subcontract from Stanford University)

Total Project Period: June 1997 – December 2000 Principal Investigator: Laurel A. Habel, PhD

Goals/Objectives: Using archived tissue from Kaiser Permanente patients with stomach cancer to examine

differences in molecular and cellular changes in stomach cancer by H. pylori phenotype.

Surveillance for Esophageal Carcinoma

Source of Funding: University of California San Francisco

Total Project Period: July 1999 – January 2000 Principal Investigator: Theodore R. Levin, MD

Goals/Objectives: The purpose of this study is to evaluate the effectiveness of upper endoscopy surveillance

of esophageal adenocarcinoma in patients with Barrett's Esophagus.

Breast Cancer

$Cancer\ Research\ Network\ (CRN)\ 7\ Across\ Health\ Care\ Systems-Infrastructure, Screening, Breast\ Cancer,$

Tobacco

Source of Funding: National Cancer Institute
Total Project Period: January 1999 – December 2002

Principal Investigators: Lisa J. Herrinton, PhD

M. Michele Manos, PhD Nancy P. Gordon, ScD Carol Somkin, PhD

Goals/Objectives: The overall goal of the CRN is to increase the effectiveness of preventive, curative and

supportive interventions for major cancers, through a program of collaborative research to determine the effectiveness of cancer control interventions that span the natural history of major cancers among diverse populations and health systems. The 10 health plans, with approximately 9 million enrollees, are distinguished by their longstanding commitment to prevention and research, and collaboration among themselves and with

commitment to prevention and research, and collaboration among themselves and with affiliated academic institutions. The CRN includes an Infrastructure, three Research Projects, and an Evaluation. The three Research Projects examine the effectiveness and cost-effectiveness of cancer control interventions across several HMOs. They include: Project 1 - "Tobacco Control in Managed Care: Implementation Effectiveness

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and Impact on Cost of Care"

Project 2 - "Toward Reducing Late Stage Breast and Cervical Cancer"

Project 3 - "Effectiveness of Early Screening and Prophylactic Mastectomy in

Women at Increased Risk for Breast Cancer"

An additional important aim is to develop and test methods to increase the participation

of HMO patients in cancer clinical trials.

DCIS: Mammographic Features and Prognosis

Source of Funding: National Cancer Institute
Total Project Period: May 1999 – February 2004
Principal Investigator: Laurel A. Habel, PhD

Goals/Objectives: Among Kaiser Permanente members diagnosed with DCIS from 1990 to 1997 and treated

with breast-conserving surgery, to determine whether mammographic features of the

breast at diagnosis predict risk of subsequent ipsilateral breast cancer.

Induced Abortion and Breast Cancer Risk

Source of Funding: National Cancer Institute
Total Project Period: August 1998 – May 2002
Principal Investigator: De-Kun Li, MD, PhD

Goals/Objectives: A population-based case-control study that will examine the association of induced

abortion with breast cancer risk. To increase the accuracy of information on induced abortion, the study will be conducted in Shanghai, China, where induced abortion is not stigmatized and where family planning records document reproductive history. The study will also examine the effect of maternal age, parity, and gestational age at the

procedure on the risk of breast cancer.

Life After Cancer Epidemiology (LACE)

Source of Funding: National Cancer Institute
Total Project Period: May 1999 – February 2004
Principal Investigators: Bette J. Caan, DrPH

Erica P. Gunderson, PhD

Goals/Objectives: This proposal is intended to examine how behavioral correlates, especially diet, exercise

and weight gain, measured subsequent to breast cancer diagnosis and treatment, affect recurrence of secondary tumors and new primaries. Secondly, we will examine the effects of diet and exercise on weight gain and weight gain and exercise on quality of life.

Mammographic Density and Breast Cancer After DCIS

Source of Funding: National Cancer Institute
Total Project Period: July 1999 – June 2001
Principal Investigator: Laurel A. Habel, PhD

Goals/Objectives: To determine whether mammographic density at diagnosis of DCIS is associated with the

risk of second breast cancer among patients in the National Surgical Adjuvant Breast and

Bowel Program (NSABP) B-17 clinical trial.

Management Options for Women at Potential Risk for Inherited Breast Cancer in a Multiethnic Health Plan Population: A Randomized Control Trial

Source of Funding: United States Army Medical Research and Development Command

Total Project Period: September 1996 – September 2000

Principal Investigator: Catherine Schaefer, PhD

Goals/Objectives: A randomized controlled trial of three tailored interventions that can be used to educate

women from diverse populations about the decision to undergo genetic testing for

inherited susceptibility to breast cancer.

Preventive Oncology Academic Award

Source of Funding: National Cancer Institute
Total Project Period: May 1996 – April 2001
Principal Investigator: Lisa A. Herrinton, PhD

Goals/Objectives: To obtain training and experience needed to become an independent investigator with

emphasis in expanding knowledge of B-cell neoplasia to include non-Hodgkin's

lymphoma.

Recurrence and Survival Among Women Diagnosed with Breast Cancer While Pregnant

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 2000 – December 2000
Principal Investigator: Catherine Schaefer, PhD

Goals/Objectives: This study complements the study titled "Safety of Pregnancy After Breast Cancer"

through comparison of a sample of women diagnosed with breast cancer while pregnant with a matched sample of controls who did not become pregnant after diagnosis of breast cancer. This study uses the control pool developed for the larger study. The aim is to determine the effect of pregnancy (and its attendant changes in hormonal status) on

reoccurrence and survival of breast cancer.

Safety of Pregnancy After Breast Cancer

Source of Funding: United States Army Medical Research and Development Command (subcontract from

Columbia University)

Total Project Period: September 1996 – September 2000

Principal Investigator: Catherine Schaefer, PhD

Goals/Objectives: This study compares recurrence rates and disease-free survival of women who became

pregnant following treatment for breast cancer and a matched group of women with a history of breast cancer who did not become pregnant after treatment of their cancer.

Study of Tamoxifen and Raloxifene (STAR)

Source of Funding: National Surgical Adjuvant Breast and Bowel Project (NSABP)

National Cancer Institute

Total Project Period: October 1998 - planned to be 10-15 year study

Co-Principal Investigator: Carol P. Somkin, PhD

Goals/Objectives: A multi-site randomized controlled trial to compare the effectiveness of tamoxifen and

raloxifene in reducing incidence of invasive breast cancer and their associated side effects

among women at high risk for breast cancer.

Tubal Sterilization and Breast Cancer Risk

Source of Funding: Kaiser Foundation Research Institute Total Project Period: January 2000 – December 2000

Principal Investigator: Laurel A. Habel, PhD

Goals/Objectives: Tubal sterilization is considered a safe and effective method of permanent birth control;

however, the long-term sequel of this procedure are not fully known. It has been hypothesized that local damage and scarring of the perineum can occur, leading to decreased blood flow to the ovaries and lower levels of ovarian hormone production. Recently, others have reported an inverse association of tubal sterilization with breast cancer mortality, offering support of a possible protective effect of sterilization on breast cancer risk. In the current investigation, we propose to use an existing database of 39,502 sterilized women and 40,505 matched controls from the Kaiser Permanente health plan to study the relative incidence of breast cancer after sterilization. In addition to the overall relative risk, we will examine the relative risk of breast cancer associated with different

methods of tubal sterilization.

Women's Intervention Nutrition Study (WINS): Low-Fat Diet in Localized Breast Cancer- Outcome Trial

Source of Funding: National Cancer Institute (subcontract from the American Health Foundation)

Total Project Period: September 1993 – December 2001

Principal Investigator: Bette J. Caan, DrPH

Goals/Objectives: To assess the efficacy of a low-fat diet on survival after treatment of localized breast

cancer.

Women's Healthy Eating and Living (WHEL) Trial: A Randomized Controlled Trial of the Effect of a Plant-Based Diet on Breast Cancer Recurrence

Source of Funding: National Cancer Institute (subcontract from University of California, San Diego)

Total Project Period: December 1997 – November 2001

Principal Investigator: Bette J. Caan, DrPH

Goals/Objectives: To evaluate the effect of a low fat, high fruit and vegetable diet on breast cancer survival

and recurrence in women with early stage breast cancer.

Young Breast Cancer Survivors: A Population Based Cohort

Source of Funding: National Cancer Institute

Total Project Period: September 1998 – September 2002

Principal Investigator: Carol P. Somkin, PhD

Goals/Objectives: To develop a survey questionnaire, recruit and conduct focus groups to determine the

needs of women originally diagnosed with breast cancer before the age of 50 who have

not had a recurrence for five years.

Cervical Cancer

Towards Avoiding Cervical and Late-Stage Breast Cancers: A Program Project Award Increasing Effectiveness of Cancer Control Interventions: The HMO Research Network

Source of Funding: National Cancer Institute
Total Project Period: January 1999 – December 2002
Principal Investigator: M. Michele Manos, PhD

Goals/Objectives: To understand the failure (in screening, detection, and follow-up) that account for the

occurrence of cervical cancer and late-stage breast cancer in women who are HMO

members.

Colorectal Cancer

Adding New Fecal Occult Blood Tests to Sigmoidoscopy

Source of Funding: National Cancer Institute
Total Project Period: May 1997 – April 2001
Principal Investigator: Theodore R. Levin, MD

Goals/Objectives: To evaluate the added yield and added cost of adding a new fecal occult blood test

(FOBT), the combination FOBT, to an existing colorectal cancer screening program

relying on sigmoidoscopy alone.

Diet, Activity and Reproduction as Risks for Rectal Cancer

Source of Funding: National Cancer Institute (subcontract from University of Utah)

Total Project Period: August 1997 - July 2002 Principal Investigator: Donna Schaffer, RD, MPH

Goals/Objectives: To examine the environmental and genetic risk factors for rectal cancer and the

interactive effects of these risks.

Prostate Cancer

California Men's Study (CMS): A prospective Cohort Study for Prostate Cancer

Source of Funding: California Department of Health Services

Total Project Period: June 2000 – May 2002 Principal Investigator: Bette J. Caan, DrPH

Goals/Objectives: This prospective study of 100,000 Northern and Southern California Kaiser Permanente

members between the ages of 45 and 69 will examine behavioral and demographic characteristics related to incident prostate cancer among men of different ethnicities.

Case-Control Study of Prostate Cancer Screening and Mortality Feasibility Study for Prostate Cancer

Screening

Source of Funding: Centers for Disease Control and Prevention

Total Project Period: September 1998 – December 2001 Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This is a case-control study to assess the efficacy of PSA screening to reduce prostate

cancer mortality.

Saw Palmetto Extract in Benign Prostatic Hyperplasia

Source of Funding: National Institute of Diabetes and Digestive and Kidney Diseases

Total Project Period: August 1999 – July 2002 Principal Investigator: Andrew L. Avins, MD

Goals/Objectives: This study is a double-blind, placebo-controlled randomized clinical trial of the effect

saw palmetto extract on symptoms, objective parameters of disease severity, and quality

of life in men with moderate-to-severe benign prostatic hyperplasia.

CARDIOVASCULAR DISEASE (also see Women's Health)

A New Assay for Cholesterol as a Predictor of Coronary Disease

Source of Funding: Otsuka Pharmaceutical Company Total Project Period: June 1996 – December 2001 Principal Investigator: Joseph F. Terdiman, MD, PhD

Goals/Objectives: A retrospective case-control study for a new lipoprotein-cholesterol assay using frozen

plasma taken from patients with and without angiographically documented coronary artery disease. The test may provide a clinically practical method to predict progression

of coronary artery disease and subsequent coronary events.

A Study of the "Early Repolarization Normal Variant" ECG Pattern: A Common and Vexing Problem

Source of Funding: Kaiser Foundation Research Institute Total Project Period: January 1999 – December 2000

Principal Investigator: Arthur L. Klatsky, MD

Goals/Objectives: The purpose of this study is to examine the traits and medical outcomes of persons with a

common (about 1-6% of all ECGs) variant pattern of the electrocardiogram (ECG),

known as "early repolarization ST normal variant."

Angiography in Angina (ANGINA) Study: Cardiac Procedure Use and Outcomes in New Onset Angina

Source of Funding: The Permanente Medical Group

Total Project Period: July 1998 - Ongoing Principal Investigator: Alan S. Go, MD

Goals/Objectives: A longitudinal cohort study of 10,627 patients with presumed new onset angina that will

evaluate the variation across Northern California Kaiser Permanente facilities of invasive cardiac procedure use (coronary angiography, percutaneous transluminal coronary angioplasty, coronary artery bypass surgery) and the effect of this variation on the outcomes of incident myocardial infarction and cardiac death over three years of follow-up. This study will also assess the quality of medical care (e.g., hyperlipidemia screening and management, use of maximal anti-anginal medical therapy) and cost-effectiveness of

different therapeutic strategies in patients with suspected ischemic heart disease.

CARDIA Psychosocial Questionnaire

Source of Funding: MacArthur Foundation
Total Project Period: April 2000 – June 2001
Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: A supplementary battery of psychosocial questionnaires for participants in the CARDIA

study.

Cardiac Arrhythmia Patient Outcomes Research Team

Source of Funding: Agency for Health Care Policy and Research

Total Project Period: August 1994 – January 2000 Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: To 1) follow a cohort of patients with life-threatening ventricular arrhythmias, 2) assess

functional status and quality of life, depression and anxiety, arrhythmia-related

symptoms, 3) measure and study determinants of outcomes including underlying disease

and its severity, comorbid conditions, therapies, diagnostic practices, and patient preferences/values, and 4) understand selection of treatment and maintenance of

treatment as a function of patient role in decision-making.

Cardiovascular Disease Research Interest Group

Source of Funding: Merck/TAP

Total Project Period: November 2000 – December 2002

Principal Investigator: Alan S. Go, MD

Goals/Objectives: To support the development and growth of cardiovascular research at the Division of

Research.

Clinical and Genetic Predictors of Myocardial Infarction in Coronary Disease

Source of Funding: Reynolds Foundation

Total Project Period: October 2000 – September 2001

Principal Investigator: Alan S. Go, MD

Goals/Objectives: To determine the clinical and genetic determinants of the type of initial presentation of

symptomatic ischemic heart disease and specifically fatal and non-fatal myocardial

infarction within a large health maintenance organization.

Effects of Exposure to Secondhand Smoke

Source of Funding: Tobacco-Related Disease Research Program (TRDRP)

Total Project Period: July 1999 – June 2001

Principal Investigator: Carlos Iribarren, MD, MPH, PhD

Goals/Objectives: To study the relationship between exposure to environmental tobacco smoke (ETS) and

several long-term health outcomes including cardiovascular disease, smoking-related

cancers and respiratory diseases.

Epidemiology of Anticoagulation in Atrial Fibrillation

Source of Funding: National Institutes of Health (subcontract from Massachusetts General Hospital)

Total Project Period: September 1997 – August 2000

Principal Investigator: Alan S. Go, MD

Goals/Objectives: A longitudinal cohort study involving 17,124 patients to evaluate the epidemiology of

non-valvular atrial fibrillation in ambulatory patients. Studies will include assessment of warfarin use for stroke prevention; determination of precise rates of stroke and major bleeding events, especially in the elderly; and ascertainment of clinical and genetic risk

factors for ischemic stroke in the setting of atrial fibrillation.

Epidemiology, Practice, Outcomes and Cost of Heart Failure in Managed Care

Source of Funding: G.D. Searle & Company, Inc. Total Project Period: May 2000 – April 2002

Principal Investigator: Alan S. Go, MD

Goals/Objectives: This cohort study of Kaiser Permanente members hospitalized with heart failure will

assess the changing epidemiology, medical and surgical therapies, variation in treatment and clinical outcomes, and identification of modifiable barriers to optimal heart failure

care.

Epidemiology: Oxidative Stress and Early Atherosclerosis

Source of Funding: National Heart Lung and Blood Institute

Total Project Period: April 2000 – June 2001 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: To describe the distribution and correlation of oxidative damage and test the association

between oxidative damage and clinical CHD in a cohort of 3500 black and white young

men and women.

Evaluating Pre-Hospital Delay Among Acute Myocardial Infarction Patients

Source of Funding: Genentech, Inc

Total Project Period: April 2000 – September 2000 Principal Investigator: Mark Alexander, PhD

Goals/Objectives: This study will address patient-related knowledge, perceptions, and attitudes about

hypertension and blood pressure control on a random sample of hypertensive patients

enrolled in Kaiser Permanente Northern California.

Glycemic Control and Congestive Heart Failure Among Diabetics Over 20 Years Old

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 1999 – September 2000
Principal Investigator: Carlos Iribarren, MD, MPH, PhD

Goals/Objectives: This retrospective cohort study will examine the association between metabolic control of

diabetes and incidence of hospitalization for congestive heart failure (CHF) among diabetic patients in the Kaiser Permanente Northern California region, aged 20 or older.

Lipid Profiles of Kidney Transplant Recipient Patients in a Managed Care Setting

Source of Funding: Novartis Pharmaceuticals Total Project Period: June 2000 – June 2001 Principal Investigator: Mark Alexander, PhD

Goals/Objectives: This study will provide epidemiological data on lipid screening practices and the

prevalence of dyslipidemia in patients who received a kidney transplant within Kaiser

Permanente Northern California, between 1989 and 1999.

Longitudinal Study of Coronary Heart Disease Risk Factors in Young Adults (CARDIA)

Source of Funding: National Heart, Lung, and Blood Institute
Total Project Period: December 1983 – November 2003
Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: To describe the distribution of coronary heart disease risk factors in a biracial cohort of

men and women, aged 18-30 years at entry; to identify habits, behaviors, and life-styles that are associated with initial levels of risk factors and with the evolution of risk factors.

Measuring Allostatic Load: A CARDIA Ancillary Study

Source of Funding: MacArthur Research Network on Socioeconomic Status

Total Project Period: April 2000 – June 2001 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: A comprehensive set of physiological assessments with which to develop a summary

measure of allostatic load, i.e. a multisystems conceptualization of the cumulative biological wear and tear that can result from greater stress exposure, will be obtained on

1,000 CARDIA study participants.

Oral Contraceptives and Thromboembolic Disease

Source of Funding: National Heart, Lung, and Blood Institute

Total Project Period: September 1997 – August 2001 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: To determine 1) the relative and attributable risk of venous thromboembolic disease

(deep venous thrombosis and pulmonary embolism) in current users of low-dose (<50 micrograms estrogen) oral contraceptive preparations and 2) the prevalence of the factor V Leiden mutation in cases and controls and the relative and attributable risk of venous

thromboembolic disease associated with its presence.

Patient-Clinician Factors Influencing Racial/Ethnic Variation in the Treatment of Coronary Disease

Source of Funding: Agency for Healthcare Research and Quality

Center for Disease Control

Total Project Period: August 2000 – August 2004

Principal Investigator: Alan S. Go, MD

Goals/Objectives: To examine the variation in the presentation to care and treatment received across

race/ethnic groups with heart disease. To determine how patient attitudes, risk perception, and physician-patient communication affects treatment and cardiovascular

outcomes.

Visceral Fat, Metabolic Rate and CHD Risk in Young Adults

Source of Funding: National Heart, Lung, and Blood Institute

Total Project Period: September 1995 – March 2003 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: To determine whether there are race and gender differences in visceral adipose tissue and

resting energy expenditure, and to determine the relationships of visceral adipose tissue

and resting energy expenditure to risk factors for coronary heart disease.

DIABETES (also see Women's Health)

A Cohort Study of the Incidence of Acute Hepatic Failure and Lesser Degrees of Liver Injury in Patients with

Diabetes Mellitus

Source of Funding: Parke-Davis Pharmaceuticals
Total Project Period: August 1999 – February 2001
Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: A retrospective cohort study of diabetic patients to estimate the incidence of

hospitalization for acute hepatic injury by type of diabetes treatment, with particular

attention to newer agents such as the thiazolidinediones.

Gestational Diabetes: Diagnostic Criteria and Outcomes

Source of Funding: National Institute of Diabetes and Digestive and Kidney Diseases

Total Project Period: September 2000 – August 2001 Principal Investigator: Assiamira Ferrara, MD, PhD

Goals/Objectives: The study will provide important knowledge about the risk of severe perinatal

complications associated with degrees of maternal hyperglycemia below the current

glucose cut-points for gestational diabetes.

Hormone Replacement Therapy and Cardiovascular Disease Risk in Type II Diabetics

Source of Funding: American Heart Association Total Project Period: July 1998 – June 2000 Principal Investigator: Assiamira Ferrara, MD, PhD

Goals/Objectives: To examine whether postmenopausal hormone replacement therapy is prospectively

associated with reduced risk of fatal and non-fatal ischemic heart disease and stroke

among women with Type II diabetes.

Increasing the Evidence Base for Retinopathy Screening Guidelines

Source of Funding: American Diabetes Association

Total Project Period: July 2000 – June 2002 Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: This study uses the Kaiser Permanente Diabetes Registry to explore clinical outcomes

following negative retinal screening examinations and exams showing only minimal retinopathy, with the goal of identifying optimal screening intervals following these

examinations.

Insulin Resistance, Hyperglycemia, and Atherosclerosis Study (IRAS)

Source of Funding: National Heart, Lung, and Blood Institute

Total Project Period: September 1991 - August 2001 Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: Multi-site cross-sectional epidemiologic study of insulin resistance, hyperinsulinemia and

hyperglycemia and their associations with atherosclerotic disease and other cardiovascular disease risk factors. The Division of Research=s grant calls for examination of 400 individuals, age 40 to 69 years, beginning in October 1992.

Myocardial Infarction and Antecedent Antibiotic Use Among Patients With Diabetes Mellitus

Source of Funding: American Diabetes Association Total Project Period: January 2000 – December 2000

Principal Investigator: Andrew J. Karter, PhD

Goals/Objectives: This is a case-control study investigation of the hypothesis that certain classes of

antibiotic treatment reduce the risk of myocardial infarction in patients with diabetes

mellitus.

Natural History of Glycemic Control Among Patients With Diabetes: The Northern California Kaiser Permanente Diabetes Registry

Source of Funding: Pfizer Inc.

Total Project Period: June 1999 – July 2000 Principal Investigator: Andrew J. Karter, PhD

Goals/Objectives: This cohort study will assess how glycemic control varies with aging ("glycemic drift"),

by diabetes treatment regimen, and demographic factors. Additionally, we will generate models that predict future glycemic control and that characterize those with diabetes who

lack adequate glycemic control.

Pilot Study of Trends and Risks in Gestational Diabetes

Source of Funding: Centers for Disease Control
Total Project Period: September 1999 – September 2001
Principal Investigator: Assiamira Ferrara, MD, PhD

Goals/Objectives: The purpose of this study is to estimate and describe the prevalence of pre-existing

diabetes and gestational diabetes in pregnancies between 1992 and 1999 among women who were members of the Kaiser Permanente Medical Care Program of Northern

California.

Population-Level Improvements in Glycemic Control Among Patients with Diabetes: A Real Individual-Level Effect or an Ecologic Fallacy

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 2000 – December 2000

Principal Investigator: Andrew J. Karter, PhD

Goals/Objectives: This cohort study will assess how glycemic control varies with aging ("glycemic drift"),

by diabetes treatment regimen, and demographic factors. Additionally, we will generate models that predict future glycemic control and that characterize those with diabetes who

lack adequate glycemic control.

Translational Research Center for Diabetes Control Within Managed Care

Source of Funding: Centers for Disease Control and Prevention

Total Project Period: November 1998 – November 2003 Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: To describe current levels of diabetes care, to analyze system-level determinants of level

of care, to study health care professional and patient-level factors that influence the effectiveness of care, to develop new quality indicators, and to identify and evaluate the aim to improve levels of screening and risk management for patients with diabetes.

Type 2 Diabetes among Children and Adolescents: Case Definitions, Characteristics and Quality of Care

Source of Funding: Centers for Disease Control
Total Project Period: September 1999 – March 2001
Principal Investigator: Assiamira Ferrara, MD, PhD

Goals/Objectives: The purpose of this study is to develop case definition(s) for diagnosis and classification

of Type 1 and 2 diabetes in youths that are suitable for surveillance and/or clinical purposes and to describe the quality of care received by youths with Type 2 diabetes. This study will be conducted among children and adolescents with diabetes who were aged 10 to 19 and who were members of the Kaiser Permanente Medical Care Program

of Northern California between 1992 and 1999.

HEALTH AND HEALTH CARE IN THE ELDERLY

Can Trained Volunteers Provide Social Support and Increase Access and Health-Related Services for Functionally Disabled Adults?

Source of Funding: Interregional Committee on Aging

Kaiser Foundation Hospitals, Direct Community Benefit Investment Program

Total Project Period: October 1998 – September 2000

Principal Investigator: Enid M. Hunkeler, MA

Goals/Objectives: To develop and evaluate a multi-divisional, six site project to train volunteers to provide

emotional comfort, social and instrumental support and increased access to health and community services for a diverse population of elderly and functionally disabled adults.

Corticosteroid-Induced Osteoporosis (CIO): Identification of Patients at Risk

Source of Funding: Proctor and Gamble
Total Project Period: February 2000 – July 2000
Principal Investigator: Bruce Ettinger, MD

Goals/Objectives: A study of Kaiser Permanente patients who are on high dosage corticosteroids. The

study will develop methods for identification from PIMS and will examine what percentage of high risk patients are receiving osteoporosis prevention therapy.

Epidemiology of Aging and Physical Function

Source of Funding: National Institute of Aging
Total Project Period: June 1998 – March 2002
Principal Investigator: Barbara Sternfeld, PhD

Goals/Objectives: To test the importance of physical activity for maintaining health and independence in the

elderly population.

Epidemiology of Fractures in Persons Age 45 and Older

Source of Funding: National Institute of Arthritis and Musculoskeletal and Skin Diseases

Total Project Period: May 1996 – April 2001 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: To perform a case-control study of fractures of the distal forearm, foot, proximal

humerus, pelvis, and shaft of the tibia and fibula among females and males of age $45\,$

years and older in an ethnically diverse population

Treatment of Depression Among the Elderly

Source of Funding: California Healthcare Foundation Total Project Period: January 1999 – December 2002

Principal Investigator: Enid M. Hunkeler, MA

Goals/Objectives: To compare disease management of depression in primary care to usual care in the

treatment of older adults, particularly minority and severely chronically ill elderly.

HEALTH SERVICES (also see Alcohol and Other Drugs, Cancer, Women's Health)

Health Services Research and Evaluation

Source of Funding: Kaiser Foundation Research Institute Total Project Period: January 2000 – December 2000

Principal Investigator: Enid Hunkeler, MA

Goals/Objectives: Ongoing institutional support for studies of the effectiveness of various physician and

patient education programs, including Kaiser Permanente's alcohol and drug abuse

treatment programs.

Disease Management

Asthma Care Quality in Varying Managed Medicare Plans

Source of Funding: American Association of Health Plans Foundation

Agency for Health Care Policy and Research

Total Project Period: July 1998 - March 2001 Principal Investigator: Tracy A. Lieu, MD, MPH

Goals/Objectives: To evaluate health plan, provider group, clinician, and patient-level features associated

with quality of care for children with asthma insured by Medicaid.

Evaluation of Care Manager Programs for Four Chronic Conditions

Source of Funding: Operation Support Services
Total Project Period: March 2000 – December 2001

Principal Investigator: Bruce Fireman, MA

Goals/Objectives: To evaluate five specialized care manager programs in Kaiser Permanente - Northern

California (Cholesterol Management, Multifit Cardiac Rehabilitation, Heart Failure, Asthma, and Diabetes). For each program, the questions addressed are: What has been the impact of the program on the quality of care? What has been the impact of the program on the cost of care? Does the program increase quality and efficiency enough to

justify its cost?

Health-Related Quality of Life Adults With Asthma and Allergy in a Health Maintenance Organization

Source of Funding: Integrated Therapeutics Group
Total Project Period: October 1998 – July 2000
Principal Investigator: Lynn M. Ackerson, PhD

Goals/Objectives: To describe health-related quality of life among adults with asthma and in adults with

only allergy using a generic and two disease specific measures, and to evaluate the ability of the measures to predict future asthma- and allergy-related health care utilization.

Stomach Study - Study of Management and Costs of Helicobacter Pylori Infection

Source of Funding: Astra Merck (May 1996 – June 2000)

Beckman Coulter, Incorporated (October 1994 – December 1998)

Total Project Period: October 1994 – June 2000 Principal Investigator: James E. Allison, MD, FACP

Goals/Objectives: An unblinded randomized controlled trial assessing clinical and cost-effectiveness of

different workup and therapeutic strategies for patients on chronic acid reduction therapy for peptic ulcer disease or ulcer like dyspepsia. Objectives are 1) determine if patients requiring frequent use of stomach acid reducing drugs for ulcer or ulcer-like symptoms are infected with H. pylori, and 2) determine if eliminating the bacteria in those who have it will prevent recurrence of symptoms once stomach acid reducing drugs are stopped.

Cost/Cost-effectiveness Studies

A Study to Develop and Evaluate an Instrument to Predict Utilization and Costs for Patients With GERD

Source of Funding: TAP Holdings, Incorporated

Lewin-TAG, Incorporated

Total Project Period: July 1998 - June 2000 Principal Investigator: Theodore R. Levin, MD

Goals/Objectives: To describe the health care utilization costs incurred by a cohort of patients with GERD,

and to describe the predictors of high cost utilization using self-report, clinical and

administrative data.

Cost of Obesity Follow-Up

Source of Funding: Amgen Pharmaceuticals

Total Project Period: January 1999 – December 2000 Principal Investigator: Charles P. Quesenberry Jr., PhD

Goals/Objectives: This study relates changes in weight to changes in co-morbidity status and costs of care

through electronic administrative databases and questionnaires.

Cost of Screening Sigmoidoscopy

Source of Funding: National Cancer Institute
Total Project Period: September 1999 – August 2000

Principal Investigator: Chris J. Colby, PhD

Goals/Objectives: The purpose of this study is to precisely estimate the cost of delivering screening

sigmoidoscopy in a large HMO population.

Integrated Drug and Medical Care: Cost and Effectiveness

Source of Funding: National Institute on Drug Abuse
Total Project Period: September 1996 - August 2000
Principal Investigator: Constance M. Weisner, DrPH, MSW

Goals/Objectives: This project compares the effects of two models of service delivery, post-treatment

outcome, patient characteristics associated with successful treatment outcomes, the costs of integrated and independent conditions, and assesses the cost effectiveness of the two sets of service arrangements. The two conditions are: 1) "integrated" services, where patients receive all their primary health care from medical personnel within the substance abuse unit itself, and 2) an "independent" treatment as usual group, where patients

receive their primary health care through the regular HMO clinics.

Improving Quality of Care by Restructuring Provider Payments

Source of Funding: Robert Wood Johnson Foundation Total Project Period: February 1997 – January 2000 Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: To examine the costs and the outcomes of care for some of the leading and most costly

chronic conditions in a managed care setting with the purpose of identifying new methods for capitating populations that take into account both underlying risks and the

performance of the health care plan.

Obesity Registry Pilot study

Source of Funding: Roche Laboratories

Total Project Period: March 2000 – September 2000 Principal Investigator: Charles P. Quesenberry Jr., PhD

Goals/Objectives: The objective of this study is to develop a sampling frame for a cohort study to examine

the effects of weight and weight change on the prevalence and incidence of obesity related comorbidities, costs of care, quality of life, and for the study of the effectiveness

of obesity treatments.

Health Services - Cost /Cost-effectiveness Studies (cont.)

Utilization, Quality of Life, and Direct Medical Costs Associated in Treated and Untreated Urinary Incontinence

Source of Funding: Pharmacia

Total Project Period: June 2000 – May 2001

Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This study will estimate the differences in costs and quality of life between treated and

untreated urinary incontinence among women.

Primary Care

Improving the Physician - Patient Match in HMO's

Source of Funding: Robert Wood Johnson Foundation
Total Project Period: October 1998 – September 2000
Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: A randomized trial conducted at the Santa Clara medical center to evaluate three

approaches to assisting adult members in choosing a new primary care physician. The study found that encouraging and helping members select a physician led to greater satisfaction, trust, and retention of the primary care physician at one year compared to

assigning the member to a physician.

Evaluation of Centralized Call Centers in Kaiser Permanente Northern California and Colorado Regions

Source of Funding: Garfield Memorial Fund

Total Project Period: October 1999 – September 2000

Principal Investigator: Bruce Fireman, MA

Goals/Objectives: To compare patient access to care, patient and provider satisfaction, and health care

utilization and associated costs before and after establishment of a Centralized Call

Center Advice Function.

Impact of the Adult Primary Care Redesign in Northern California on Quality, Cost, Patient Satisfaction and Physician Satisfaction

Source of Funding: Garfield Memorial Fund
Total Project Period: October 1999 – October 2001

Principal Investigator: Bruce Fireman, MA

Goals/Objectives: Evaluate the Adult Primary Care redesign, assessing its consequences for patient

satisfaction, quality of care, use of services, cost and efficiency of care, and provider

satisfaction.

Screening

Cancer Screening, Managed Care and the Underserved

Source of Funding: National Cancer Institute (subcontract from Northern California Cancer Center)

Total Project Period: February 1998 – November 2003

Principal Investigator: Carol P. Somkin, PhD

Goals/Objectives: A multi-site program project to increase breast and cervical cancer screening and improve

follow-up among low income multi-ethnic women. To develop items to measure core survey domains including satisfaction with screening and with providers, satisfaction with cultural competence, as well as access to services and health care skills, and to

conduct methodological studies on accuracy of self report and attrition.

Health Services – Screening (cont.)

BAT-26 Mutations and Flexible Sigmoidoscopy Colorectal Cancer Screening

Source of Funding: **EXACT Labs**

Total Project Period: October 2000 - August 2001 Principal Investigator: Theodore R. Levin, MD

Goals/Objectives: An evaluation of the potential value of BAT-26 mutations and microsatellite instability in

the detection of colorectal cancers not detected by sigmoidoscopy alone.

Study of Sigmoidoscopy Complications

Source of Funding: Alliance of Community Health Plans (subcontract from the Centers for Disease Control)

Total Project Period: March 1999 - September 2000 Principal Investigator: Theodore R. Levin, MD

Goals/Objectives: The purpose of this study is to determine the prevalence of bowel perforation and lower

gastrointestinal bleeding in the weeks immediately following flexible sigmoidoscopy and

to determine whether the rate of acute coronary events is increased in the weeks

following flexible sigmoidoscopy.

Veterans Affairs Prostate Specific Antigen Prostate Cancer Study

Source of Funding: Veterans Affairs Puget Sound Total Project Period: January 2000 - July 2000 Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This study is part of a larger case-control study to evaluate the effectiveness of PSA

screening in reducing prostate cancer mortality.

INFECTIOUS DISEASES AND VACCINES, EXCLUDING AIDS/HIV

A Phase III Open Label Multicenter Study of the Safety and Immunogenicity of a Booster Dose of SmithKline Beecham Biological DTPA Vaccine (Infanrix) and Pasteur Merieux's HIB Conjugate Vaccine (OmniHIB) When Administered Intramuscularly as Separate Injections Between 12 and 18 Months of Age Following Primary Immunization at the Age of 2 Months, 4 Months, and 6 Months in the Study DTPA-HBV-027(CPMS NO 217744/027)

Source of Funding: SmithKline Beecham Pharmaceuticals

Total Project Period: November 1999 - May 2000

Principal Investigators: Steven Black, MD Goals/Objectives: Title defines study.

Comprehensive Linked Data Collection of Medical Events and Immunization (Vaccine Safety and **Development Datalink**)

Source of Funding: Centers for Disease Control and Prevention

Total Project Period: September 1995 - September 2001

Principal Investigators: Steven Black, MD

Henry R. Shinefield, MD

Goals/Objectives: To expand and maintain a large linked database which constitutes a comprehensive

medical events file for an annual birth cohort of 28,000 children within Kaiser

Permanente Northern California Region and to assess the rates of occurrence of medical

events after immunization in children and adolescents.

Infectious Etiology of Multiple Sclerosis: A pilot study

National Multiple Sclerosis Foundation Source of Funding:

July 2000 – June 2001 Total Project Period: Principal Investigator: Gerald N. Delorenze

Goals/Objectives: Pilot study of diagnostic confirmation of Multiple Sclerosis among patients with frozen

serum specimens from Multiphasic exams. The results will contribute to a larger study of

the infectious etiology associated with MS.

Infectious Diseases and Vaccines, Excluding AIDS/HIV (cont.)

Postmarketing Evaluation of Prevnar Pneumococcal 7-Valent Conjugate Vaccine: Local and Systematic Reactogenicity of Prevnar Administered in Catch-Up Populations of Children Aged 7 to 11 months, 12 months to 2 years, 2 to 5 years, and 5 to 9 years

Source of Funding: Wyeth-Ayerst Pharmaceutical Corporation

Total Project Period: May 2000 – October 2000

Principal Investigators: Steven Black, MD Goals/Objectives: Title defines study.

MENTAL HEALTH

Improving Care of Depression

Source of Funding: National Institute of Mental Health (subcontract from RAND Corporation)

Total Project Period: September 1995 - August 2000

Principal Investigator: Nancy P. Gordon, ScD

Goals/Objectives: This study, being conducted collaboratively with researchers from the RAND

Corporation, was designed to determine whether team-based quality improvement approaches are more effective than guideline distribution alone for increasing adherence to clinical practice guidelines for management of major depression in the primary care (adult medicine) setting. Additionally, it evaluated the use of interventions developed by the teams to enhance assessment and follow-up of patients whom primary care providers

suspected were clinically depressed.

Prenatal Determinants of Schizophrenia

Source of Funding: National Institute of Mental Health (subcontract from Research Foundation for Mental

Hygiene)

Total Project Period: January 1997 – December 2000

Principal Investigator: Catherine Schaefer, PhD

Goals/Objectives: To investigate the relation of prenatal exposures to the development of schizophrenia in

adults, using a cohort of 19,000 individuals born in 1959-66 and continuously followed

as Kaiser Health Plan members into adulthood.

Screening and Follow-up for Postpartum Depression at Pediatric Well-Baby Visits

Source of Funding: Kaiser Foundation Hospitals, Innovation Program

Total Project Period: November 1998 - October 2000

Principal Investigator: Catherine Schaefer, PhD

Goals/Objectives: The aim of this study is to : 1) determine the prevalence of untreated postpartum

depression in the Kaiser Permanente population, 2) test the effectiveness of screening for postpartum depression and referral for treatment scheduled well-baby visits in decreasing prevalence of untreated depression and improving outcomes of depression at 6 months

postpartum well-baby visits.

The Depression Initiative

Source of Funding: Garfield Memorial Fund

Eli Lilly and Company SmithKline Beecham Pfizer, Incorporated

Kaiser Foundation Hospitals, Direct Community Benefit Investment Program

Total Project Period: September 1997 - Ongoing Principal Investigator: Enid M. Hunkeler, MA

Goals/Objectives: A national initiative to fund, solicit, conduct and disseminate innovative, practical

research to improve care for depression.

The Efficacy of Nurse Telephone Follow-Up and Peer Support in Treating Depression in Primary Care

Source of Funding: SmithKline Beecham

The Permanente Medical Group, Innovations Program

Kaiser Foundation Hospitals, Direct Community Benefit Investment Program

The Depression Initiative

Total Project Period: September 1997 - January 2001

Principal Investigator: Enid M. Hunkeler, MA

Goals/Objectives: To evaluate the efficacy of nurse telehealth care with or without peer support to improve

patient outcomes and patient satisfaction for depressed patients treated in the primary

care setting.

The Impact of Mental Health Problems on the Use of Non-Psychiatric Health Services in a Managed Care

Setting

Source of Funding: DuPont Merck Pharmaceuticals

Agency for Health Care Policy and Research (AHCPR)

Total Project Period: May 1998 - May 2005

Principal Investigators: Enid M. Hunkeler, MA; William D. Spector, PhD (AHCPR)

Goals/Objectives: A collaborative effort with AHCPR to determine the health care utilization and cost of

patients with sub-clinical and clinical depression and their families. It also explores the importance of psychiatric co-morbidities, role dysfunction and utilization and cost.

NEUROLOGICAL DISEASE

Epidemiology of Primary and Secondary Dystonia in a Multi-Ethnic Population: "A Pilot Planning Grant"

Source of Funding: Parkinson's Institute
Total Project Period: April 2000 – March 2002

Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This is a pilot study to develop diagnostic criteria for dystonia that can be used in large

scale epidemiologic studies. In addition, this study will develop the epidemiologic case identification and confirmation process that can be used in a large managed care

organization.

Establishing a Traumatic Brain Injury Registry and Tracking System

Source of Funding: Kaiser Permanente Innovation Program

Total Project Period: January 2000 – December 2001
Principal Investigator: Joseph F. Terdiman, MD, PhD

Goals/Objectives: To develop a Web-based computer system to promote early identification and monitoring

of the traumatic brain injury population, to assure provision of cost-effective care and

optimal clinical management.

Explaining the Inverse Smoking Relation to Parkinson's Disease (PD) Source of Funding:

Tobacco-Related Disease Research Program (TRDRP)

Total Project Period: July 1999 – June 2002

Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: The study objectives are focused on investigating selected mechanisms that may explain

the inverse association that has been observed between smoking and PD.

Parkinson's Disease and Quality of Life, Utilization and Natural History

Source of Funding: Pharmacia & Upjohn

Total Project Period: September 1999 – March 2001 Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: This study will assess predictors of quality of life, utilization and change in disease

progression over time among an inception cohort of 496 men and women with

Parkinson's disease.

Predictors of Spontaneous Cerebral AVM Hemorrhage

Source of Funding: University of California at San Francisco

National Institute of Neurological Disorders and Stroke

Total Project Period: February 2000 – August 2002 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: A study of the natural history of cerebral arteriovenous malformations (AVMs) including

the incidence, prevalence, treatment, and hemorrhage rates.

PREGNANCY AND CHILD HEALTH

5 Year Outcome of Severe Neonatal Jaundice & Dehydration

Source of Funding: National Institute of Neurological Disorders and Stroke (subcontract from University of

California San Francisco)

Total Project Period: July 1999 – June 2000 Principal Investigators: Gabriel J. Escobar, MD

Tom Newman, MD

Goals/Objectives: This project will assess neurodevelopmental outcome at 5 years of age of children who

had (a) severe neonatal jaundice (serum bilirubin ≥ 25.0 mg/dl) and/or (b) severe neonatal dehydration (weight loss $\geq 12\%$ of birth weight and/or serum sodium ≥ 150

mEq/L).

A Perinatal Health Services Research Laboratory Pilot

Source of Funding: Agency for Healthcare Research and Quality

Total Project Period: September 2000 – September 2001

Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: To perform exploratory analyses in perinatal health services research using Kaiser

Permanente datasets to design more complex projects which will enhance our future

collaborations with Harvard University.

Does Pregnancy Glycemia Predict Perinatal Complications Among Women Without Gestational Diabetes

Mellitus?

Source of Funding: American Diabetes Association
Total Project Period: January 2000 – December 2000
Principal Investigator: Assiamira Ferrara, MD, PhD

Goals/Objectives: The purpose of this study is to predict subsequent selected infant perinatal complications

by estimating the sensitivity and specificity over a range of maternal glucose levels that were obtained during screening and diagnostic tests for gestational diabetes. The study will be conducted among women without gestational diabetes who were members of the Kaiser Permanente Medical Care Program of Northern California between 1995 and

1998.

Drinking Water and Spontaneous Abortion

Source of Funding: Sequoia Foundation

Total Project Period: January 2000 – January 2002

Principal Investigator: De-Kun Li, MD, PhD

Goals/Objectives: This study will determine whether drinking tap water during pregnancy increases a

woman's risk of spontaneous abortion.

Estimating Ectopic Pregnancy Prevalence and Treatment Choices

Source of Funding: Centers for Disease Control and Prevention

Total Project Period: October 1999 – September 2000 Principal Investigator: Stephen K. Van Den Eeden, PhD

Goals/Objectives: To estimate the prevalence and treatment of ectopic pregnancy within Kaiser Permanente,

Northern California Region .

Feasibility Study of Staged Tailored Reproductive Health Counseling for Adult Women Obtaining a

Pregnancy Tests in a Managed Care Setting

Source of Funding: Centers for Disease Control and Prevention

Total Project Period: December 1999 – October 2001

Principal Investigator: Annette Aalborg, PhD

Goals/Objectives: To test the feasibility and effectiveness of providing reproductive health counseling in a

managed care setting for adult women who are at risk for unintended pregnancy and/or STD/HIV infection. In-person counseling and telephone follow-up will be available at the point of obtaining a pregnancy test for women who receive a negative pregnancy test and do not want to be pregnant. Effectiveness will be measured by assessing short-term

behavioral changes related to preventing unintended pregnancy and/or STD/HIV

infection.

Home Versus Group Visits After Early Postpartum Discharge

Source of Funding: Maternal and Child Health Bureau

Garfield Memorial Fund

Kaiser Foundation Hospitals, Innovation Program

Total Project Period: October 1997 – October 2000 Principal Investigators: Tracy A. Lieu, MD, MPH

Gabriel J. Escobar, MD

Goals/Objectives: To compare the clinical outcomes, patient satisfaction and costs associated with home

visit versus group clinic visit follow-up for mothers and infants who experience early

postpartum hospital discharge.

How Much Does She Really Drink? An HMO Intervention

Source of Funding: National Institute of Alcoholism and Alcohol Abuse

Total Project Period: September 1999 – June 2000 Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: This 5 year RCT will compare perinatal outcomes among women who use alcohol in

pregnancy and who are randomized to: a) usual substance abuse rehabilitation, or b) a

special intervention on alcohol use.

Individual, Family and Societal Outcomes of Infertility

Source of Funding: National Institute of child Health and Human Development

Total Project Period: October 1999 – June 2001 Principal Investigator: De-Kun Li, MD, PhD

Goals/Objectives: This retrospective cohort study will examine whether pregnancies occurring in infertility

patients are at higher risk for adverse outcomes, including pregnancy complications, labor and delivery complications, neonatal and pediatric outcomes, as compared to

pregnancies conceived by fertile women.

Infant Sleep Position and Sudden Infant Death Syndrome (SIDS) Risk

Source of Funding: National Institutes of Child Health and Human Development

Total Project Period: February 1995 - February 2002

Principal Investigator: De-Kun Li, MD, PhD

Goals/Objectives: This population-based multi-center case-control study is intended to 1) determine the risk

of SIDS associated with sleeping in the prone or side positions relative to supine position

in the Northern and Southern California population, 2) identify any factors that

exacerbate the association of sleeping position with the risk of SIDS, 3) establish baseline information on the prevalence of each sleeping position, and 4) evaluate the potential impact of the Aback-to-sleep@ campaign on the prevalence of sleeping positions.

Is Maternal Impaired Glucose Metabolism Associated with Increased Risk of Infant Prematurity

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 2000 – December 2000
Principal Investigator: Assiamira Ferrara, MD, PhD

Goals/Objectives: To investigate whether the risk of infant prematurity increases across a spectrum of

maternal glucose tolerance categories during pregnancy.

Maternal - Fetal HLA Sharing and the Risk of Preterm Delivery

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 2000 – December 2000

Principal Investigator: De-Kun Li, MD, PhD

Goals/Objectives: The purpose of this study is to conduct a population-based sib-matched case-control

study to examine whether maternal-fetal sharing of human leukocyte antigens is

associated with risk of preterm delivery.

Prospective Epidemiologic Study of Spontaneous Abortion and Electromagnetic Field (EMF) Exposure

Source of Funding: California Public Health Foundation

Total Project Period: May 1996 – June 2001 Principal Investigator: De-Kun Li, MD, PhD

Goals/Objectives: To 1) determine whether exposure to an EMF increases the risk of spontaneous abortion

of a clinically confirmed pregnancy, 2) determine whether prenatal EMF exposure increases the risk of spontaneous abortion in a susceptible subgroup of women who have a history of subfertility and/or multiple spontaneous abortions, 3) provide preliminary information on the relationship of prenatal EMF exposure with time to pregnancy and with the risk of other pregnancy outcomes including low birth weight, pre-term delivery and still birth, and 4) create a database and establish a well-defined study population for

possible future study of the effect of EMF exposure on reproductive outcomes.

Practice Standards for Newly Diagnosed ADHD

Source of Funding: Kaiser Foundation Research Institute Total Project Period: January 2000 – December 2000

Principal Investigator: Laurel A. Habel, PhD

Goals/Objectives: To examine the clinical management (including specialty care and pharmacologic

treatment) of Kaiser Permanente health plan members under the age of 18 years who are

newly diagnosed with ADHD.

Rapid Retrieval of Intermediate Outcomes Data in Newborns: The Kaiser Permanente Neonatal Minimum Data Set (NMDS)

Source of Funding: Kaiser Foundation Health Plan Total Project Period: November 1993 - Ongoing Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: The NMDS is a wide area network linking 8 nurseries in Northern California. It is being

used to 1) monitor neonatal outcomes and utilization 2) develop a simplified severity of illness scale, the Score for Neonatal Acute Physiology, version II (SNAP-II) in collaboration with Harvard University and the University of British Columbia at

Vancouver and 3) serve as a test-bed for research on medical informatics.

Risk Adjusted Pregnancy Outcomes in Northern California

Source of Funding: Kaiser Foundation Hospitals, Innovation Program

Total Project Period: September 1997 – June 2000 Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: To measure inter-facility variation in pregnancy outcomes in 1995-97 in the Kaiser

Permanente Medical Care Program.

SNAP-II

Source of Funding: Avandel Corporation

Total Project Period: December 1999 – December 2001

Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: Using the "Score for Neonatal Acute Physiology (SNAP)," Version II, the objective of

this study is to develop a predictive model for resource consumption among newborns

admitted to neonatal intensive care.

Steppin' in Synch': Establishing a Research and Quality Improvement Partnership Between Colorado and

Northern California

Source of Funding: Garfield Memorial Fund
Total Project Period: May 1998 - June 2001
Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: This study will 1) deploy the neonatal minimum data set database at the Exempla St.

Joseph Hospital in Denver, 2) define what differences, if any, exist in neonatal rehospitalization rates between Colorado and Northern California, 3) define evidence-based strategies to decrease neonatal jaundice, severe neonatal dehydration and rehospitalization for jaundice and dehydration at all severity levels, and 4) assist the Kaiser Permanente Colorado Division in developing a research capability in maternal and

child health.

Unstudied Infants: Low Risk Babies in a High Risk Place

Source of Funding: Agency for Healthcare Research and Quality

Total Project Period: August 2000 – July 2001 Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: This project will study those infants who make up the majority of neonatal intensive care

unit census: those who are 30-35 weeks gestation. It will measure in-hospital utilization and morbidity as well as post-discharge (to age 3 months) outcomes in these infants. The

study sites are 12 birth facilities in Massachusetts and California.

WOMEN'S HEALTH (also see CANCER, Cardiovascular Disease, Diabetes, Health Services)

A Multicenter, Double-Blind, Randomized, Placebo-Controlled Study to Evaluate the Safety and Efficacy of an Ultralow Dose of Estradiol Given By Continuous Transdermal Administration in the Prevention of Osteoporosis

Source of Funding: Berlex Laboratories

Total Project Period: December 1999 – December 2002

Principal Investigator: Bruce Ettinger, MD

Goals/Objectives: This study will test the ability of a quarter strength estradiol patch to prevent bone loss in

women aged 60 and older.

Assessing the Burden of Illness of Premenstrual Symptomology

Source of Funding; MEDSTAT (subcontract from Eli Lilly and Company)

Total Project Period: June 1999 – July 2000 Principal Investigator: Barbara Sternfeld, PhD

Goals/Objectives: To describe relations of work productivity, health care utilization, and social role

functions with severity of premenstrual symptoms.

Continuing Outcomes Relevant to Evista (CORE): A study of Raloxifene HCl and Placebo in the prevention of Invasive Breast Cancer in Postmenopausal Women with Osteoporosis

Source of Funding: Eli Lilly Pharmaceuticals
Total Project Period: November 1999 – October 2003

Principal Investigator: Bruce Ettinger, MD Goals/Objectives: Title defines study.

Epidemiology of Physical Activity and Ovarian Function

Source of Funding:

Total Project Period:

Principal Investigator:

National Institutes of Health
January 1999 – June 2002

Barbara Sternfeld, PhD

Goals/Objectives: To examine the influence of physical activity on characteristics of the menstrual cycle,

reproductive hormonal patterns and hormone–related symptoms using three different data

sets.

Evaluation of Osteoporosis in the Elderly

Source of Funding: National Institute on Aging (subcontract from Dartmouth University)

Total Project Period: July 1999 – June 2000 Principal Investigator: Bruce Ettinger, MD

Goals/Objectives: This is a telephone survey of women starting various osteoporosis treatments to assess

reasons for starting and stopping and the effects of the drug on quality of life.

Isoflavone Clover Extracts for Menopausal Hot Flashes

Source of Funding: University of California San Francisco

Total Project Period: May 1999 – April 2000 Principal Investigator: Bruce Ettinger, MD

Goals/Objectives: A comparison of two dietary supplements to placebo in postmenopausal women with hot

flashes.

Side Effects in the Treatment with Antidepressants Among Perimenopausal and Menopausal Women

(STAMP)

Source of Funding: Bristol-Myers Squibb
Total Project Period: August 2000 – August 2002
Principal Investigator: Elisabeth Gruskin, DrPH

Goals/Objectives: The STAMP study is a clinical trial looking at the side effect profiles of antidepressants

in older women with an emphasis on sexual side effects and sleep.

Smoking and Lifestyle Risk Factors for Premenstrual Changes

Source of Funding: Tobacco Related Disease Research Program

Total Project Period: July 1998 - June 2000 Principal Investigator: Barbara Sternfeld, PhD

Goals/Objectives: To understand the effect of modifiable risk factors, such as active and passive smoking,

diet and physical activity, on premenstrual physical and psychological symptoms.

Study of Women's Health Across the Nation (SWAN)

Source of Funding: National Institute on Aging (subcontract from University of California, Davis)

Total Project Period: September 1994 – November 2002

Principal Investigator: Barbara Sternfeld, PhD

Goals/Objectives: A multi-site study to follow a large, multi-ethnic sample of premenopausal women

through the menopausal transition in order to investigate the influence of biological and social/cultural factors on age at menopause, symptoms of menopause and change in

disease risk factor status.

Urinary Incontinence: Reproductive and Hormonal Risk Factors

Source of Funding: National Institutes of Health (subcontract from Stanford University)

Total Project Period: September 1998 – September 2002 Principal Investigators: Stephen K. Van Den Eeden, PhD

David H. Thom, MD, PhD

Goals/Objectives: To evaluate reproductive risk factors including during labor and delivery e.g. prolonged

labor, hysterectomy and use of exogenous hormones, as a risk factor for urinary

incontinence in later life.

Women's Health Initiative (WHI): Clinical Center

Source of Funding: National Institutes of Health
Total Project Period: September 1994 - September 2005

Principal Investigator: Bette J. Caan, DrPH

Goals/Objectives: The objectives of the clinical trial are to assess the effect of hormone replacement

therapy, and dietary modification, and calcium and vitamin D supplementation on coronary heart disease, breast and colorectal cancers, osteoporotic fractures, and total mortality. The objectives of the observational study are to evaluate risk factors and biomarkers for these and other important disease outcomes for women in their

postmenopausal years and to provide information complementary to that obtained from

the clinical trial.

Women's Health Initiative - The Memory Study (WHIMS)

Source of Funding: National Cancer Institute (subcontract from Wake Forest University School of Medicine)

Total Project Period: June 1996 - May 2002 Principal Investigator: Bette J. Caan, DrPH

Goals/Objectives: To examine the effect of hormone replacement therapy on progression to Alzheimer's

disease.

OTHER

A Phase II Trial for the Treatment of Patients who are unable to tolerate Allopurinol

Source of Funding: ILEX

Total Project Period: September 2000 – December 2001 Principal Investigator: Stephen Sidney, MD, MPH

Goals/Objectives: A study of allopurinol prescribing habits of Kaiser Permanente physicians to determine

the potential feasibility of clinical trial using oxypurinol in patients who are intolerant to

allopurinol.

Accelerating the Cycle of Research Through a Network of integrated Delivery Systems

Source of Funding: Agency for Healthcare Research and Quality

Total Project Period: 2000 - Ongoing

Principal Investigator: Joseph V. Selby, MD, MPH

Goals/Objectives: A task order Agreement between AHRQ and the HMO Research Network, coordinated

by DOR.

CRN Project 2 (DETECT) Supplement: Design, Implementation and Analysis of Clinician Survey

Source of Funding: National Cancer Institute
Total Project Period: January 2000 – December 2001

Principal Investigator: Carol P. Somkin, PhD

Goals/Objectives: To build a population laboratory for cancer research in HMO populations.

Characterization of DFNA1 in Inherited Hearing Loss

Source of Funding: National Institutes of Health (subcontract from University of Washington)

Total Project Period: May 1997 - December 2000 Principal Investigator: Theodore R. Levin, MD

Goals/Objectives: To develop a cohort of individuals with non-syndromic hearing loss diagnosed under the

age of 40 years to evaluate the nature and frequency in inherited mutations in known

deafness susceptibility genes.

Cohort Study of Medical Devices

Source of Funding: Dow Corning Corporation Total Project Period: June 1996 – March 2000 Principal Investigator: Lisa A. Herrinton, PhD

Goals/Objectives: To investigate the potential role of silicone and non-silicone containing medical devices

in the etiology of cancer, connective tissue disease, autoimmune disease, and

neurological disease.

Dietary Supplement Use by Adult Members of Kaiser Permanente Medical Care Program of Northern California

Source of Funding: Shaklee Corporation
Total Project Period: March 2000 – April 2001
Principal Investigator: Donna M. Schaffer, RD, MPH

Goals/Objectives: A survey of the supplementation practices and health behaviors of respondents to the

1999 KP Member Health Survey.

Factors Affecting Utilization of Preventive Services by Kaiser Adolescent Members

Source of Funding: Kaiser Foundation Research Institute Total Project Period: January 2000 – December 2000

Principal Investigator: Annette Aalborg, DrPH

Goals/Objectives: This study will provide valuable information regarding "teen friendly" methods to

improve utilization of primary preventive care for Kaiser teens.

Frozen Serum

Source of Funding: Orentreich Foundation for the Advancement of Science (OFAS)

Total Project Period: July 1984 -Ongoing

Principal Investigator: Gary D. Friedman, MD, MS

Collaborate with OFAS to use and maintain the large Kaiser-Orentreich collection of Goals/Objectives:

frozen serum for medical research.

Health Education

Source of Funding: Kaiser Foundation Hospitals Total Project Period: January 2000 – December 2000

Principal Investigator: Nancy P. Gordon, ScD

Goals/Objectives: The goal of this DOR research program area is to improve both Kaiser's and the

community's (i.e. community agencies, Health Plan members, other health plans, academic/research community, etc.) knowledge of the need for and effectiveness,

feasibility, and acceptability of different types of services aimed at improving the health of health plan members and/or different segments of the community. DOR staff provide consultation to regional and medical facility departments on the evaluation of programs

and services targeting patients and clinicians and, in some cases, take on the

responsibility for data collection, analysis, and reporting for these evaluations on a costsharing basis. Active involvement in program evaluations occurs only when there is an upfront agreement from the participants that results will be shared with the community

through publications or presentations.

Improvement of Wound Care Management by Teledermatology

Source of Funding: The Permanente Medical Group Innnovation Program

Total Project Period: January 2000 – December 2001 Principal Investigator: Joseph F. Terdiman, MD, PhD

Goals/Objectives: To develop a portable teledermatology unit consisting of a digital camera and laptop

computer that will be carried by a wound care nurse to patients' homes and nursing homes. Digital photographs of skin lesions and clinical data will be uploaded to the

teledermatology website for review by wound care specialists.

Income, Inequality, and Work and the Health of Californians

Source of Funding: California Wellness Foundation January 1999 – December 2000 Total Project Period:

Principal Investigator: Carol P. Somkin, PhD

Goals/Objectives: To provide a forum for clearer definition of the social capital and community factors that

may contribute to health and will result in a set of measures which can be used and

validated in subsequent studies.

Innovative Approaches to Managed Care – School Partnerships: Evaluation of Kaiser Permanente Cares for Kids Demonstration Project

Source of Funding: Robert Wood Johnson Foundation
Total Project Period: May 1999 – December 2000
Principal Investigator: Annette E. Aalborg, PhD

Goals/Objectives: To evaluate implementation strategies and outcomes of two managed care-school health

promotions projects: "Healthy Minds" and "Hearts of Power." Recommendations will be developed that will assist KP and other health care providers to partner with schools to

enhance the health of children.

Kaiser-Middle School Wellness and Violence Prevention

Source of Funding: Kaiser Foundation Hospitals, Direct Community Benefit Investment Program

Total Project Period: January 2000 – December 2001 Principal Investigator: Annette E. Aalborg, PhD

Goals/Objectives: The aim of this project is to develop parent and youth peer leadership, decrease violence

and improve safety in the school. Students' perceptions of safety and incidents of

fighting, cutting, and suspension are measured.

Kaiser Permanente Adult Member Health Survey

Source of Funding: Kaiser Foundation Hospitals, Direct Community Benefit Investment Program

The Permanente Medical Group

Total Project Period: 1990 - Ongoing Principal Investigator: Nancy P. Gordon, ScD

Goals/Objectives: The Member Health Survey has been conducted every three years since 1990 (1990,

1993, 1996, and 1999). This survey provides information about the health status, health-related risks, needs and interests of adult health plan members. These results are

used by Kaiser Permanente for service planning and quality monitoring and for

conducting health services and epidemiologic research. They are also made available to community health agencies, other health plans, and academic researchers through presentations, journal articles, and directly upon request providing valuable information to non-Kaiser health service planners who do not have such information available on

their own service populations.

Looking for Trouble in All the Right Places: Electronic Decision Support for Human Error Reduction in a Large Managed Care Organization

Source of Funding: Kaiser Foundation Hospitals, Innovation Program

National Patient Safety Foundation of the American Medical Association

Total Project Period: September 1998 – December 2001

Principal Investigator: Gabriel J. Escobar, MD

Goals/Objectives: To develop electronic mechanisms for identification of human error at three Kaiser

Permanente facilities.

Member Information Project

Source of Funding: The Permanente Medical Group, Innovation Program

Total Project Period: October 1999 – December 2000

Principal Investigator: Nancy P. Gordon, ScD

Goals/Objectives: This study will pilot test collection of demographic data from adult members in Oakland

and Vallejo medical center service populations via questionnaire administered at the medical facility or by mail. Questionnaire data will be linked by medical record number (MRN) with data from Kaiser Permanente clinical and administrative databases to learn whether certain member subgroups are less likely to be getting recommended health care procedures, with special focus on the association of race/ethnicity, education level, and having a usual primary care provider with guideline-concordant receipt of preventive

services.

Pancreatitis: Predictors, Clinical Traits and Sequelae

Source of Funding: Kaiser Foundation Research Institute
Total Project Period: January 2000 – December 2000

Principal Investigator: Arthur L. Klatsky, MD

Goals/Objectives: The purpose of this study is to acquire new knowledge, in a large, defined Kaiser

Permanente Medical Care Program (KPMCP) population about pancreatitis, a common

serious condition.

Sentinel Surveillance for Chronic Liver Disease

Source of Funding: Centers for Disease Control and Prevention (subcontract from Public Health Foundation,

Incorporated)

Total Project Period: May 1998 – December 2001 Principal Investigator: M. Michele Manos, PhD

Goals/Objectives: To determine the incidence of physician-diagnosed chronic liver disease in a defined

geographic area; the relative contribution of chronic HBV, HCV, and other etiologies to the burden of disease; the influence of etiologic agent(s) and other factors on morbidity and mortality; and to monitor the incidence of and mortality from chronic liver disease in

a defined geographic area over time.

Sociodemographic Database

Source of Funding: Garfield Memorial Fund Total Project Period: July 2000 – June 2001 Principal Investigator: Nancy P. Gordon, ScD

Goals/Objectives: This study, being conducted with the Oakland and Vallejo medical facility service

populations, seeks to assess the feasibility of collecting detailed sociodemographic information on all adults in a medical facility service population and to investigate the relationship of member race/ethnicity, education, and language preference to use of health services (especially preventive medicine) and health problems (as identified from Kaiser's ICD9-coded OSCR utilization database) in order to make recommendations to health plans and quality reporting of data that will be used to compare across health

plans.

DOR INVESTIGATORS, THEIR AREAS OF EXPERTISE AND CURRENT PROJECTS

Annette E. Aalborg, DrPH

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Child and adolescent development and health promotion in urban schools, pascience education in low-income and ethnic minority schools, emposite health promotion, violence prevention, issues relating to obesity and access to care, and evaluation/participatory research, cardiovascular adolescents and families, reproductive health, prevention of unintensinfection. Executive Work Group for Science Education Partnership Division of Nation Coordinator of Public Programs and Research, School of Public Health, University of California Berkeley	artnership models for health owerment and peer models of d positive self-image and r health promotion for ided pregnancy and HIV/STD mal Institutes of Health niversity of California, Berkeley
Associate Researcher, School of Public Health, University of California, Be	erkeley
Factors Affecting Utilization of Preventive Services by Kaiser Adolescer Feasibility Study of Staged Tailored Reproductive Health Counseling fo Pregnancy Test in a Managed Care Setting	or Adult Women Obtaining26 ation of Kaiser Permanente
Kaiser-Middle School Wellness and Violence Prevention	32.
Lynn M. Ackerson, PhD	
Lynn M. Ackerson, PhD	
	lma@dor.kaiser.org
Biostatistics, longitudinal data analysis, design and analysis of clinical trials Lecturer, Department of Epidemiology and Biostatistics, University of California	ornia, San Francisco
Projects: Health-Related Quality of Life as a Predictor of Utilization Among Adul Maintenance Organization	

Mark Alexander, PhD



Cardiovascular and geriatric epidemiology

Assistant Director, Medical Effectiveness Research Center, University of California, San Francisco

Projects:

Evaluating Pre-Hospital Delay Among Acute Myocardial Infarction Patients......17

Lipid Profiles of Kidney Transplant Recipient Patients in a Managed Care Setting17Mary Anne Armstrong, MA

Alcohol studies, perinatal health services research, biostatistics

Projects:

Health care effectiveness, Health Services Research

Associate Director for Health Care Effectiveness, Division of Research

Assistant Professor In-Residence, Department of Medicine and Department of Epidemiology and Biostatistics, University of California, San Francisco

Staff Physician, General Internal Medicine Section, Medical Service, Veterans Affairs Medical Center, San Francisco

Interim Director of Research, Osher Center for Integrative Medicine at UCSF, San Francisco

Projects:

Saw Palmetto Extract in Benign Prostatic Hyperplasia12

Steven Black, MD



Evaluation of vaccine safety and efficacy

Associate Clinical Professor, Department of Pediatrics, University of California, San Francisco

Projects:



Chris J. Colby, PhD



Medical economics and cost studies

Projects:



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Perinatal Research Unit, Clinical Epidemiology

Gerald N. DeLorenze



HIV/AIDS epidemiology, biostatistical methods, reproductive epidemiology

Therapy (HAART)......5

Gabriel J. Escobar, MD



Perinatal health services research, informatics, guidelines development, critical care research, severity of illness scoring, outcome of high risk newborns, human error, assessment of prenatal care Permanent non-voting member, Chiefs of OB/Gyn Quarterly Meeting, Nursery Directors' Quarterly Meeting, Perinatal Council Quarterly Meeting

California Association of Neonatologists Quality Care Initiative, Database Committee

Projects:

5 Year Outcome of Severe Neonatal Jaundice & Denydration	25
A Perinatal Health Services Research Laboratory Pilot	25
Home Versus Group Visits After Early Postpartum Discharge	26
How Much Does She Really Drink? An HMO Intervention	26
Looking for Trouble in All the Right Places: Electronic Decision Support for Human Error Reduction in a Large Managed Care Organization	33
Rapid Retrieval of Intermediate Outcomes Data in Newborns: The Kaiser Permanente Neonatal Minimum Data Set (NMDS)	
Risk Adjusted Pregnancy Outcomes in Northern California	27
SNAP-II	28
Steppin' in Synch: Establishing a Research and Quality Improvement Partnership Between Colorado and Northern California	28
Unstudied Infants: Low Risk Babies in a High Risk Place	28



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Osteoporosis, kidney stones and calcium metabolism, hormones, menopause, pharmacoepidemiology Clinical Professor of Medicine and Radiology, University of California, San Francisco

Projects:

A Multicenter, Double-Blind, Randomized, Placebo-Controlled Study to Evaluate the Safety and Efficacy of an Ultralow Dose of Estradiol Given By Continuous Transdermal Administration in the

DOR Investigators (cont.
Prevention of Osteoporosis
Corticosteroid-Induced Osteoporosis (CIO): Identification of Patients at Risk
Continuing Outcomes Relevant to Evista (CORE): A study of Raloxifene HCl and Placebo in the prevention of Invasive Breast Cancer in Postmenopausal Women with Osteoporosis
Evaluation of Osteoporosis in the Elderly
Isoflavone Clover Extract for Menopausal Hot Flashes
Assiamira Ferrara, MD, PhD(510) 450-2706
Epidemiology of diabetes, cardiovascular disease, hormones, gestational diabetes and menopause
Projects: Does Pregnancy Glycemia Predict Perinatal Complications Among Women Without Gestational Diabetes Mellitus?
Gestational Diabetes: Diagnostic Criteria and Outcomes
Hormone Replacement Therapy and Cardiovascular Disease Risk in Type II Diabetics
Is Maternal Impaired Glucose Metabolism Associated with Increased Risk of Infant Prematurity 27
Pilot Study of Trends and Risks in Gestational Diabetes
Type 2 Diabetes among Children and Adolescents: Case Definitions Characteristics and Quality of Care
Bruce H. Fireman, MA
Bruce H. Fireman, MA
Health services research, particularly small area variation studies
Projects: Evaluation of Centralized Call Centers in Kaiser Permanente Northern California and Colorado Regions
Evaluation of Care Manager Programs for Four Chronic Conditions
Impact of the Adult Primary Care Redesign in Northern California on Quality, Cost, Patient

Gary D. Friedman, MD, MS

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Epidemiology of cancer, cardiovascular disease, gallbladder disease, effe medicinal drugs	-
Consulting Professor, Department of Health Research and Policy, Stanfo Lecturer, Department of Biomedical and Environmental Health Sciences of Public Health, Berkeley	
Lecturer, Department of Epidemiology and Biostatistics, University of Co Francisco	alifornia School of Medicine, San
Projects:	
Cancer Epidemiology in a Large Health Care Plan (Outstanding Inv	estigator Grant)
Frozen Serum	
Alan S. Go, MD	
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Cardiovascular health services research and clinical epidemiology manag heart disease, atrial fibrillation and stroke, screening and treatme electrocardiographic findings and outcomes in heart disease, pre renal disease	ent of hyperlipidemia,
Assistant Professor, Departments of Epidemiology, Biostatistics, and Med School of Medicine, San Francisco	dicine, University of California
Clinical Staff, General Internal Medicine Section, Veterans Affairs Medic	cal Center, San Francisco
Projects:	
Angiography in Angina (ANGINA) Study: Cardiac Procedure Use at Angina	
Cardiovascular Disease Research Interest Group	1
Clinical and Genetic Predictors of Myocardial Infarction in Coronar	y Disease14
Epidemiology of Anticoagulation in Atrial Fibrillation	14
Epidemiology, Practice, Outcomes and Cost of Heart Failure in Man	aged Care1
Patient-Clinician Factors Influencing Racial/Ethnic Variation in the	Treatment of Coronary
Disease	

Nancy P. Gordon, ScD



Evaluation of health services (especially patient and provider education interventions aimed at improving chronic disease management and/or risk factor reduction), member health surveys (data about prevalence of chronic diseases, health risk factors such as smoking, quality of life and functional status issues among older members, etc., in the Kaiser adult membership), alternative therapy use among Kaiser members and primary care clinicians

Projects:

Cancer Research Network Across Health Care Systems – Infrastructure, Screening, F	,
Tobacco	9
Health Education	32
Improving Care of Depression	23
Kaiser Permanente Adult Member Health Survey	33
Member Information Project	33
Sociodemographic Database	34
Elisabeth Gruskin, DrPH	

E

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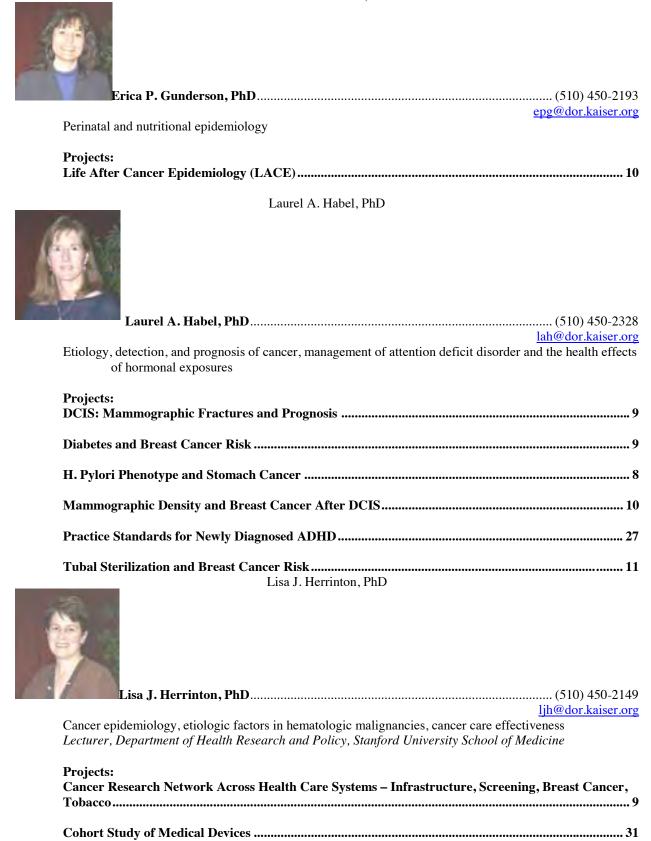
Lesbian and bisexual women's health research, sexuality research, depression and bipolar disorder, substance use, and breast cancer

Lecturer, School of Public Health, University of California, Berkeley Fellow, Health Policy Institute, University of California, San Francisco

Projects:

Health Implications of Sexual Orientations30

Erica P. Gunderson, PhD



Enid M. Hunkeler, MA



John Hsu, MD, MBA (510) 450-2820 jth@dor.kaiser.org

Health services research, medical decision making



Health services research in mental health, depression, domestic violence prevention, alcohol, smoking and drug abuse programs, behavioral health, hypertension, teens and adolescents

Director, Inter-divisional Depression Initiative (funded by the Garfield Memorial Fund)

Associate Fellow, the Epidemiology Council of the American Heart Association Member, the National Leadership Council and Quality Improvement Partnership Roundtable

Member, the National Leadership Council and Quality Improvement Fartnership Roundiad

Consultant, THMOG Depression Shared Improvement Project

Member, American Public Health Association

Technical Advisor, AHCPR report evaluating new and old pharmacological treatment for depression

Program Consultant, Care Management Institute

Reviewer, Health Services Branch, NIMH

Member, External Advisory Committee, setting protocol for STAR*D (Sequenced Treatment Alternative to Relieve Depression)

Projects:

Can Trained Volunteers Provide Social Support and Increase Access and Health-Related Services For Functionally Disabled Adults	
Depression Initiative	. 23
Health Services Research	. 18
The Efficacy of Nurse Telephone Follow-up and Peer Support in Treatment of Depression in Primary Care	. 23
The Impact of Mental Health Problems on the Use of Non-Psychiatric Health Services in a Manag Care Setting	
Treatment of Depression Among the Elderly	. 18

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Cardiovascular epidemiology, cancer epidemiology, preventive medicine, asthma and liver function
Assistant Adjunct Professor, Department of Epidemiology and Biostatistics, University of California, San
Francisco

Effects of Exposure to Secondhand Smoke14

Glycemic Control and Congestive Heart Failure Among Diabetics Over 20 Years Old15

Andrew J. Karter, PhD



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Epidemiology of diabetes and cardiovascular disease

Projects:

Myocardial Infarction and Antecedent Antibiotic Use Among Patients With Diabetes Mellitus....... 16

Theodore R. Levin, MD



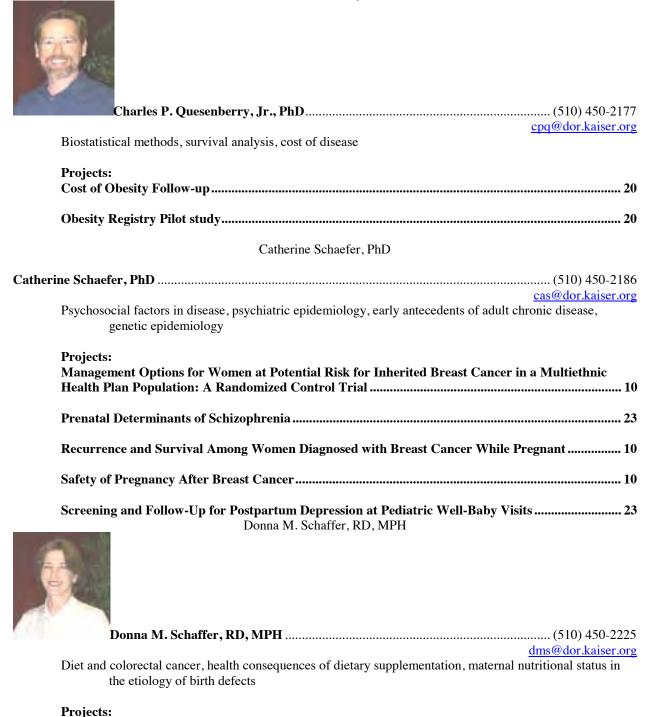
Health services and outcomes research related to colorectal cancer screening in average risk populations and in patients with a positive family history of colorectal cancer, outcomes research related to Gastroesophageal Reflux Disease and Dyspepsia

Assistant Clinical Professor of Medicine, University of California, San Francisco

Projects:

DOR Investigators (con A Study to Develop and Evaluate an Instrument to Predict Utilization and Costs for Patients with GERD
Adding New Fecal Occult Blood Tests to Sigmoidoscopy
BAT-26 Mutations and Flexible Sigmoidoscopy Colorectal Cancer Screening
Characterization of DFNA1 in Inherited Hearing Loss
Evaluation of Risk Factors for Barrett's Esophagus
Study of Sigmoidoscopy Complications
Surveillance for Esophageal Carcinoma
De-Kun Li, MD, PhD(510) 450-22
Reproductive, prenatal, and pediatric epidemiology, such as infertility, miscarriage, SIDS, and birth defect
Projects:
Drinking Water and Spontaneous Abortion
Individual, Family and Societal Outcomes of Infertility
Induced Abortion and Breast Cancer Risk
Infant Sleep Position and Sudden Infant Death Syndrome (SIDS) Risk
Maternal - Fetal HLA Sharing and the Risk of Preterm Delivery Prospective Epidemiologic Study of Spontaneous Abortion and Electromagnetic Field (EMF) Exposure
M. Michele Manos, PhD, MPH ichele Manos, PhD, MPH(510) 450-23
Etiology and prevention of cervical cancer, utility of human papillomavirus (HPV) testing and other new technologies to improve Pap screening, molecular epidemiology of cancers, women's reproducti health in minority populations, chronic liver disease
Associate Adjunct Professor, Department of Epidemiology and Biostatistics, University of California, Sa Francisco Steering Committee Member, National Cancer Institute, ALTS (ASCUS/LSIL Triage Study) Clinical Tria
Projects: Cancer Research Network Across Health Care Systems – Infrastructure, Screening, Breast Cancer Tobacco

Charles P. Quesenberry, Jr., PhD



Dietary Supplement Use by Adult Members of Kaiser Permanente Medical Care Program of

Joseph V. Selby, MD, MPH

Joseph V. Selby, MD, MPH	0) 450-2106 or.kaiser.org
Epidemiology of diabetes, cardiovascular disease, and cancer; evaluation of screening tests; printenhancement, costs of care, impact of cost-sharing Lecturer, Department of Epidemiology and Biostatistics, University of California School of Mediforniasco Francisco Consulting Professor, Health Research and Policy, Stanford University School of Medicine	nary care
Projects: A Cohort Study of the Incidence of Acute Hepatic Failure and Lesser Degrees of Liver Inju Patients with Diabetes Mellitus	
A Case Control Study of Mammography	21
Accelerating the Cycle of Research Through a Network of Integrated Delivery Systems	30
Cardiac Arrhythmia Patient Outcomes Research Team	13
Improving the Physician - Patient Match in HMO's	21
Improving Quality of Care for Restructuring Provider Payments	20
Increasing the Evidence Base for Retinopathy Screening Guidelines	16
Insulin Resistance and Atherosclerosis Study(IRAS)	16
Translational Research Centers for Diabetes Control Within Managed Care Stephen Sidney, MD, MPH	17
), 450, 2 100
Stephen Sidney, MD, MPH(510	0) 450-2108 or.kaiser.org
Epidemiology of cardiovascular disease, oral contraceptives and cardiovascular disease, hormon replacement therapy and cardiovascular diseases, health effects of marijuana use Consultant, Department of Epidemiology, University of California School of Public Health, Bern	
Projects:	
A Phase II Trial for the Treatment of Patients who are unable to tolerate Allopurinol	30
CARDIA Psychosocial Questionnaire	15
Epidemiology: Oxidative Stress and Early Atherosclerosis	14

	DOR Investigators (cont.)
Epidemiology of Fractures in Persons Age 45 and Older	18
Fat Redistribution and Metabolic Change in HIV Infection (FRAM)	5
Longitudinal Study of Coronary Heart Disease Risk Factors in Young Adu	lts (CARDIA)15
Measuring Allostatic Load: A CARDIA Ancillary Study	15
Oral Contraceptives and Thromboembolic Disease	15
Predictors of Spontaneous Cerebral AVM Hemorrhage	24
Visceral Fat, Metabolic Rate and CHD Risk in Young Adults Krikor Soghikian, MD, MPH	15
Krikor Soghikian, MD, MPH	
Health services research, gerontological research, hypertension Clinical Professor of Medicine, University of California, San Francisco Visiting Professor, College of Health Sciences, American University of Armenia Carol P. Somkin, PhD	kks@dor.kaiser.org a, Yerevan, Armenia
Carol P. Somkin, PhD	, ,
Health services research, breast cancer, cancer screening, sociodemographic factorization in clinical trials Member and Chair Public Education/Outreach Subcommittee, Breast and Cervices Council, California Department of Health Services Member Scientific Advisory Board, Breast Cancer Action	
Projects: CRN Project 2 (DETECT) Supplement: Design, Implementation and Analy	ysis of Clinician Survey. 30
Cancer Research Network Across Health Care Systems – Infrastructure, St. Tobacco	
Cancer Screening, Managed Care and the Underserved	21
Income, Inequality, and Work and the Health of Californians	32
Study of Tamoxifen and Raloxifene (STAR)	11

Barbara Sternfeld, PhD



Epidemiology of cancer, environmental and occupational diseases, Parkinson's Disease, aspartame, headaches, reproductive health

Lecturer, Department of Health Research and Policy, Stanford University School of Medicine Lecturer, Department of Epidemiology, University of California School of Public Health, Berkeley

Projects:

DOR Investigators (cont.) Air Pollution and Morbidity and Mortality Among California Kaiser Permanente Members 5
Air Pollution, Race, SES and Asthma Hospitalization Risk
Case-Control Study of Prostate Cancer Screening and Mortality Feasibility Study for Prostate Cancer Screening
Case-Crossover Analysis of Ambient Air Pollution and Cardiopulmonary Hospitalizations of Kaiser Members in Sacramento and Fresno Metropolitan Areas
Epidemiology of Primary and Secondary Dystonia in a Multi-Ethnic Population: "A Pilot Planning Grant"
Estimating Ectopic Pregnancy Prevalence and Treatment Choices
Explaining the Inverse Smoking Relation with Parkinson's Disease
Parkinson's Disease and Quality of Life, Utilization and Natural History 24
Particulate Air Pollution and Morbidity in the California Central Valley: A High Particulate Pollution Region
Risk of IHD Hospitalization Associated with exposure to Air Pollution
Urinary Incontinence: Reproductive and Hormonal Risk Factors
Utilization, Quality of Life, and Direct Medical Costs Associated in Treated and Untreated Urinary Incontinence
Veterans Affairs Prostate Specific Antigen Prostate Cancer Study
Lawrence J. Walter, MA
Psychiatric and substance abuse treatment outcomes measurement, mental health services quality improvement, utilization and cost analysis, large scale database linkage
Projects:



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Drug and alcohol epidemiology, treatment outcomes, access and utilization of behavioral health care Professor of Psychiatry, University of California San Francisco Department of Psychiatry, Langley Porter Psychiatric Institute

Projects:	
Impact of Services on Trajectories	
Integrated Drug and Medical Care: Cost and Effectiveness	20
Long-Term Impact of Day Treatment on Outcome and Cost	
Outcome and Cost of Day Hospital vs. Outpatient Care	
Pathways to Substance Abuse Treatment in Adolescents in an HMO: Implications for Policy	
Primary Care Behavioral Health Risk Screening and Referral	{

ADJUNCT INVESTIGATORS, THEIR AREAS OF EXPERTISE AND CURRENT PROJECTS

James E. Allison, MD, FACP	'20
Screening for colorectal cancer, costs of H. pylori associated peptic ulcer disease, cost effective	<u>org</u>
management of H. pylori associated peptic ulcer disease	
Clinical Professor of Medicine, University of California, San Francisco	
Faculty, Gastroenterology Division, University of California, San Francisco General Campus Investigator, Division of Colorectal Cancer Research, Department of Gastroenterology, Veterans	
Administration Hospital, San Francisco	
Projects:	
Adding New Fecal Occult Blood Tests to Sigmoidoscopy – What Does It Add to Sigmoidoscopic Screening?	21
Stomach Study - Study of Management and Costs of Helicobacter Pylori Infection	19
Mark Eisner, MD(510) 450-27	124
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S. Claiborne Johnston, MD	
Neurology and neurologic disorders	<u>org</u>
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Epidemiology of osteoporosis, fractures, and falls Professor of Health Research and Policy, Division of Epidemiology, Stanford University School of Medicine	
Arthur L. Klatsky, MD(510) 596-65	538
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Health effects of alcohol, coffee, cardiovascular epidemiology	
Projects: A Study of the "Early Repolarization Normal Variant" ECG Pattern: A Common and Vexing Problem	13
Alcoholic Beverage Choice and Mortality	6
Alcohol Drinking and Risk of Cerebrovascular Disease	6
Pancreatitis: Predictors, Clinical Traits and Sequelae	33
Nancy Krieger, PhD	571
nkrieger@hsph.harvard.e	
Epidemiology of social inequalities in health, cancer, hypertension	

Assistant Professor, Department of Health and Social Behavior, Harvard University School of Public Health

Adjunct Investigators (cont.)

Tracy A. Lieu, MD, MPH	
Medical decision-making, cost-effectiveness analysis, and pediatric primary care delivery, including immunizations and asthma, and perinatal services	<u> 11 g</u>
Associate Professor, Department of Ambulatory Care, Harvard Pilgrim Health Care and Harvard Medic School	cal
Projects: Asthma Care Quality in Varying Managed Medicare Plans	19
Home Versus Group Visits After Early Postpartum Discharge	26
Lorraine Midanik, PhD	
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Alcohol and drug epidemiology, health services research, measurement issues in research	
Professor, School of Social Welfare, University of California, Berkeley	
Senior Program Consultant, Robert Wood Johnson Foundation, Substance Abuse Policy Research Program	
Lorene Nelson, PhD	254
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	<u>:uu</u>
Epidemiology of neurologic disorders, epidemiology of autoimmune diseases, epidemiologic methods Assistant Professor, Department of Health Research and Policy, Stanford University School of Medicine	
Tom Newman, MD, MPH(415) 476-64	
tbn@dor.kaiser.c	org
Pediatrics Professor of Epidemiology and Biostatistics, Pediatrics, and Laboratory Medicine, University of California, San Francisco	
n · .	
Projects: 5 Year Outcome of Severe Neonatal Jaundice & Dehydration	25
Henry R. Shinefield, MD	
henry. shinefield@kp.a	org
Evaluation of vaccine safety and efficacy Clinical Professor, Department of Pediatrics, Department of Dermatology, University of California School of Medicine, San Francisco	ool
David H. Thom, MD, PhD (650) 725-29)12
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Primary care issues, patient-physician interactions, Chlamydia pneumoniae, and urinary incontinence Assistant Professor of Medicine, Stanford University Medical School	<u>/dd</u>
Director of Stanford Geriatric Education University, Stanford University	
Adjunct Assistant Professor, University of California, San Francisco Medical School	
Projects: Urinary Incontinence: Reproductive and Hormonal Risk Factors	29

DOR Research Clinics

Facilities:

The Division of Research supports observational studies and clinical trials that require the collection of high quality clinical data. The clinical research setting is distinguished by its multi-functional capabilities designed to provide an efficient and pleasant setting for participants and staff. A total of 10,000 square feet on two floors of the DOR is available for clinical research. The main clinic on the 9th floor has a reception area, participant changing rooms and bathrooms, blood processing laboratory, six interview rooms and two examination rooms. There are designated rooms for bone densitometry as well as an expanded JACHO accredited monitoring and medical procedures room. The 9th floor supplemental clinic also provides a blood processing laboratory and five interview rooms to support busier clinic days. The 8th floor clinic space is devoted to the Women's Health Initiative, and includes study records room and ECG room as well as examination rooms. All three clinic areas have blood processing laboratories, each with at least one refrigerated centrifuge, small refrigerator, and interim freezer storage space. A dedicated freezer room on the 9th floor contains four -70°C freezers, five -20°C freezers and one refrigerator for short and long-term storage of biological specimens.

Activities in 2000:

Thirteen studies utilized the research clinics during the year 2000. Nearly 6,000 clinic visits were made by study participants. The total annual budget of the studies utilizing the clinics was \$3,546,387, representing approximately 14% of the total annual budget of the DOR.

Observational studies included the following: Allostatic Load Study; Coronary Artery Risk Development in Young Adults (CARDIA); Fat Redistibution and Metabolic Change in HIV Infection (FRAM); Genetics and Epidemiology of Motor Neuron Disorders (GEM); Jaundice and Infant Feeding Study (JIFEE); Liver Disease Surveillance Study; Study of Women's Health Across the Nation (SWAN); Visceral Fat and Metabolic Rate in Young Adults (VIM).

Clinical trials included the following: Isoflavone Clover Extract (ICE); Continuing Outcomes of Raloxifene Evaluation (CORE); Study of Tamoxifen and Raloxifene (STAR)); Women's Healthy Eating and Living Trial (WHEL); and Women's Health Initiative (WHI).

THE PERMANENTE MEDICAL GROUP (TPMG) - FUNDED RESEARCH ACTIVITIES

In addition to the peer-reviewed externally and internally funded projects detailed in this report, Division of Research (DOR) investigators conduct studies and maintain a number of disease registries with direct funding through core support from The Permanente Medical Group (TPMG) or with funding from Kaiser Foundation Hospitals (KFH). DOR investigators provide additional services to the organization through teaching, consultation, and committee memberships.

Cardiac Services

We completed evaluation of temporal trends between 1996 and 2000 in the use of invasive cardiac procedures (i.e. coronary angiography, percutaneous coronary interventions, and coronary artery bypass surgery) among the Kaiser Permanente of Northern California (KPNC) adult population as well as among targeted subgroups of patients with coronary heart disease (acute myocardial infarction and newly diagnosed angina). While the total number of coronary angiography procedures has increased with the growing membership, the rate of coronary angiography has remained stable over the past 4 years. Consistent with nationwide practices, the use of percutaneous interventions (especially intra-coronary stenting) has risen dramatically among patients undergoing angiography with an associated decrease in use of coronary artery bypass surgery. Invasive procedures are utilized more frequently following a heart attack compared with a new angina diagnosis, and there is now little variation across the referral cardiac service areas in their use of these procedures.

The risk of adverse outcomes following acute myocardial infarction appears to be decreasing over time, but there remains significant opportunity to improve the management of LDL-cholesterol levels in patients with myocardial infarction and especially among outpatients with angina for the secondary prevention of cardiac events and death.

HIV/AIDS Database

The DOR staff contributes to our organization's response to the AIDS epidemic by participating in the Northern California Regional HIV/AIDS Committee. At the request of the Regional HIV/AIDS Committee, DOR developed and maintains an HIV/AIDS Database and tracking/reporting system. This HIV/AIDS Database, managed by Leo Hurley, is now the definitive resource for HIV prevalence and incidence information in KP Northern California (see http://dor-ent1.kaiser.org/, Research Projects, AIDS/HIV Annual Report). DOR uses the HIV/AIDS Database primarily for assisting in resource allocation for and evaluation and support of HIV care delivery, as well as for recruiting members for HIV clinical trials, and for conducting epidemiological and health services research as initiated by KP clinicians and DOR investigators. Dr. Stephen Sidney and Leo Hurley are members of the Regional HIV/AIDS Committee.

The KP-Northern California Cancer Registry

The DOR has maintained research databases containing cancer incidence data since 1960. In 1994, through an award from the Innovation Program, the Kaiser Permanente Northern California Cancer Registry (KPNCCR) was established, providing the first complete regionwide cancer data, and structured utilizing the standards of common practice among cancer registries. The KPNCCR focuses on data quality and outcomes reporting using standard measures such as incidence, stage at diagnosis, and survival, and publishes a complete annual report summarizing key internal statistics with comparisons to external benchmarks. The KPNCCR Project Director consults with, and responds to, requests for data from multiple sources including providers (TPMG), administrators, managers and investigators. This research-based cancer registry is heavily utilized to support our numerous research endeavors.

Colon Cancer Prevention Program (CoCaP)

In 1993 Kaiser Permanente funded the CoCaP program to deliver cost-effective colorectal cancer screening. The CoCaP guideline calls for flexible sigmoidoscopy every ten years for all health plan members beginning at age 50 (with the exception of higher risk members who require colonoscopy). The CoCaP Program was described in a 1997 publication by Dr. Albert Palitz et al in HMO Practice. Based on previous studies conducted at the DOR, it was expected that CoCaP would eventually reduce the occurrence of colorectal cancer through removal of premalignant polyps found at sigmoidoscopy. More than 400,000 members have been screened with sigmoidoscopy since CoCaP began. According to the 1999 Member Health Survey, 67% of Kaiser Permanente members aged 50-79 years now report having had a sigmoidoscopy in the past 10 years. This is approximately twice the level of screening in the non-Kaiser population, and is higher than rates reported anywhere in the United States. A proposal has been submitted to the California Cancer Registry and the National Cancer Institute to compare incidence patterns within Kaiser Permanente over the past 7 years to those for the rest of Northern California. DOR staff built a database that includes reports on the first 180,000 sigmoidoscopies performed in CoCaP, along with subsequent pathology and colonoscopy reports. This database has been used to evaluate and refine the CoCaP screening guidelines. These data formed the basis for a 1999 publication in JAMA by Dr. T.R. Levin et al on the need for colonoscopy after discovery of tiny adenomas at sigmoidoscopy. Another publication, detailing the remarkably low sigmoidoscopy complication rate in CoCaP has been submitted for publication. In addition, current studies are examining the potential gains from combining a highly sensitive fecal occult blood test with sigmoidoscopy screening and the occurrence of interval cancers following negative sigmoidoscopy examinations. It is the aim of DOR and the Chiefs of Gastroenterology to ensure that Kaiser Permanente continues to search for improved methods of colorectal screening, while maintaining the highly effective CoCaP screening in the meantime.

PUBLICATIONS:

Selby JV. Explaining recent declines in colorectal cancer incidence: was it the sigmoidscope? Am J Med 2000;109(4):332-4.

Kaiser Permanente Northern California Region (KPNC) Diabetes Registry

The Regional Diabetes Registry was originally funded by an award from the Innovation Program. The registry was first constructed in October 1993 and has been updated annually since then. In the intervening years, DOR investigators have obtained funding from a variety of sources, including the Centers for Disease Control, the American Diabetes Association, the American Heart Association, the National Institutes of Diabetes and Digestive and Kidney Disease, and from several pharmaceutical companies to maintain and conduct research in the registry. The registry has been adapted by the Department of Quality and Utilization for quarterly reports on the progress of KPNC's Chronic Care Management Program for diabetes. A total of 11 papers have been published from registry data. These include papers on the costs of care for diabetes, the impact of metformin on glycemic control, the effects of self-monitoring of blood glucose (SMBG) on glycemic control, the effect of copayments on SMBG practice, the association of hormone replacement therapy on glycemic control, predictors of high risk status for diabetes complications, and an evaluation of cluster visits for treatment of diabetes. The DOR continues to participate in the CDC-funded TRIAD (Translating Research Into Practice for Diabetes) Study, which is evaluating the effectiveness of various managed care strategies on the health and quality of life of enrolled members with diabetes in ten U.S. health plans and more than 50 provider groups. Other ongoing studies include an evaluation of criteria for diagnosing gestational diabetes (Dr. Ferrara), recent changes in LDL measurement and control in diabetes (Dr. Selby), and an evaluation of the impact of the legislative mandate to provide glucose monitoring equipment to all patients with diabetes (Dr. Karter).

Early Neonatal Discharge

In light of the recent political controversy regarding length of stay and follow-up services after normal childbirth, DOR (under the leadership of Drs. Tracy Lieu and Gabriel Escobar) conducted a randomized controlled trial to compare home visits vs. individual clinic visits on the 4th postpartum day for mothers and infants receiving early postpartum discharge in Sacramento. Outcomes included urgent clinic visits, breastfeeding success rates,

TPMG – Funded Research Activities (cont.)

rehospitalization, and patient satisfaction. The study found that all health outcomes were comparable, with no differences between groups in rehospitalization rates, breast feeding failures, or any other clinical outcome. However, patient satisfaction was significantly and substantially higher in the home visit group. A cost analysis suggests that home visits are more expensive than pediatric clinic visits, but they are also longer and include attention to the mother not provided at a clinic visit. Thus, if a clinic visit for the mother were required in addition to a clinic visit for the newborn, the excess costs of a home visit would be minimal.

PUBICATIONS:

Lieu TA, Braveman PA, Escobar GJ, Fischer AF, Jensvold NG, Capra AM. A randomized comparison of home and clinic follow-up visits after early postpartum hospital discharge. Pediatrics 2000; 105:1058-65.

Other Perinatal Studies

Dr. Gabriel Escobar and his associates continued their efforts to expand our Region's perinatal research capability. Work on two new studies accelerated in 1998. The "Piecing the Perinatal Puzzle" study began developing strategies to link two key Regional databases (the Pre-Term Birth Prevention Program and the Neonatal Minimum Data Set, or NMDS) with administrative and laboratory databases. The "Steppin' in Synch" study began deployment of the NMDS database in Denver, Colorado. As work ended on the the "Watchful Waiting vs. Antibiotics A.S.A.P." project, which characterized the neonatal "sepsis work-up," the emphasis in this area shifted to the development of a Regional guideline using study results. Work continued using the NMDS database for 1) continued outcomes monitoring at the six level III NICU's 2) analyzing post-NICU rehospitalization patterns 3) validating a simplified neonatal severity of illness score with Harvard University and the University of British Columbia at Vancouver and 4) characterizing the frequency and duration of neonatal assisted ventilation. Work also continued on two population-based case control studies focusing on severely jaundiced and severely dehydrated infants.

KAISER FOUNDATION HOSPITALS (KFH) - FUNDED RESEARCH ACTIVITIES

Health Services Research and Evaluation Activities - Direct Community Benefit Investment (DCBI) Program

Evaluation and Consultation Services to KPCMP and the Community. Funds from Kaiser's DCBI Program support Dr. Nancy Gordon and her staff in providing program evaluation and research consultation services to KPMCP departments and clinicians engaged in DCBI-funded program areas (e.g., Health Education, KP Cares for Kids, KFRI-funded research, community health partnership programs), to community agencies, and academic institutions. In 2000, program evaluations/needs assessments were conducted in the following topic areas: behavioral health/mind-body medicine psychoeducation classes; prenatal education, pediatric preventive care systems; use of group appointments for medical care; long-term care (projects funded by the KP Inter-Regional Council on Aging); and Kaiser Permanente Cares for Kids Child Health Plan. Consultation services are also provided to many Kaiser Permanente clinicians and program managers and to non-Kaiser Permanente researchers regarding the development and implementation of clinical and health services research and evaluation projects.

1999 Adult Member Health Survey. Headed by Dr. Gordon, DOR has conducted a large-scale mailed survey of a stratified random sample of adult Health Plan members in Northern California every three years since 1990. Support for these surveys has come from both DCBI and TPMG. This is the only survey of that links self-reported health and functional status, behavioral risk factors, preventive service use, and satisfaction, and also with KPMCP administrative and clinical databases. The survey enables Kaiser to compare its service population to the general insured population (e.g., based on National Health Interview Survey and California Behavioral Risk Factor Survey). Profiles of the adult health plan membership in the region and for each of the 18 medical center service populations are made available to health education departments and external affairs managers for needs assessment purposes. Data are also made available to community and government agencies, academic researchers, and other health plans through scientific presentations, publications, and special reports generated upon request.

KFH – Funded Research Activities (cont.)

In addition to the core set of questions, the 1999 survey collected information on use of 19 alternative/complementary therapies and selected vitamins and herbal supplements during the past 12 months, psychosocial stresses such as physical or sexual assault, robbery, and harassment/discrimination during the past 12 months; member preferences for different methods of receiving health information, and member access to personal computers and the internet. A Member Health Survey website has been created to make it possible for anyone with access to the KP Intranet to download copies of the reports and survey questionnaire (http://www.dor.kaiser.org/dor/mhsnet/index.html).

Member Information Project. DCBI support in Year 2000 helped to continue a pilot project to test the collection of sociodemographic information from adult members in two Kaiser Permanente Northern California medical facilities (Vallejo and Oakland). The Member Information Project has three main goals: (1) to evaluate the feasibility and acceptability of a population-based member sociodemographic data collection, (2) to study whether traditionally underserved member subpopulations (based on race/ethnicity, education, and language barriers) are just as likely as the non-disadvantaged population to receive guideline-concordant preventive and chronic disease management services when financial barriers to these services are almost totally removed, and (3) to analyze the role of education and language barriers as mediating factors if race/ethnic differences in services received are observed.

Children with Medi-Cal Managed Care: Utilization, Costs, Health Status and Access to Care. DCBI funds were used to augment a study originally led by Dr. Tracy Lieu which aimed to describe the access to care of Medicaid children in the Kaiser Permanente Medical Care Program in Northern California and any differences between them and commercially-insured children. A paper, which covers the results of the access to care analyses augmented by the DCBI-funded utilization piece, "A comparison of health care experiences for Medicaid and commercially insured children in a large nonprofit HMO", was accepted by Ambulatory Pediatrics.

Mental Health. During the year 2000, DCBI funds were also used to support eight continuing projects and two new ones related to mental health under the direction of Enid Hunkeler, MA. Three of the projects are now completed (Efficacy of Nurse Telehealth Care and Peer Support in Augmenting Treatment of Depression in Primary Care, Beyond Screening for Domestic Violence: A Systems-model Approach in a Managed Care Setting, and Alcohol Consumption Patterns and Health Care Costs in an HMO). There were five articles submitted for publication stemming from these projects. The Community on Patrol for Safety (COPS) project has received a \$25,000 award for recognition of outstanding community service from Eli Lilly and Company and was featured on Channel 4 news after the Columbine tragedy. Following is a listing of the articles published, those accepted for publication, and those still under review.

Articles:

Hunkeler EM, Meresman JF, Hargreaves WA, Fireman B, Berman WH, Kirsch AJ, Groebe J, Hurt SW, Braden P, Getzell M, Feigenbaum PA, Peng T, Salzer M. Efficacy of Nurse Telehealth Care and Peer Support in Augmenting Treatment of Depression in Primary Care. Archives of Family Medicine. 2000; 9:700-708.

McCaw B, Bauer HM, Berman WH, Moone L, Holmberg M, Hunkeler EM. Women Referred For On-site Domestic Violence Services in a Managed Care Organization. Women in Health, June 2001

Hunkeler EM, Hung YY, Rice DP, Weisner C, Hu TW. Alcohol Consumption Patterns and Health Care Costs in an HMO. Drug and Alcohol Dependence (in press).

McCaw B, Berman WH, Syme L, Hunkeler EM. Beyond Screening For Domestic Violence: A Systems-model Approach in a Managed Care Setting. American Journal Preventive Medicine (Submitted 12/01)

Mental Health and Chemical Dependency Quality Improvement Studies (jointly funded with TPMG)

During the decade of the 1990s, the provision of accessable and effective mental health and chemical dependency (MH/CD) services have been seen as an increasingly vital component of health care. A wide range of internally-funded research and evaluation activities at DOR have paralleled the dramatic expansion of KP's MH/CD programs during this period. A series of clinical outcome studies in psychiatric clinics and the Chemical Dependency Recovery Programs (CDRPs) examined patient characteristics, patterns of treatment received, and patient outcomes

KFH – Funded Research Activities (cont.)

such as symptom reduction, increased functioning, abstinence from alcohol or illicit substances and patient satisfaction. Other studies have examined the impact of mental health and chemical dependency treatment on medical utilization, new models of psychiatric case-management for high risk Medi-Cal patients, the impact of onsite mental health providers in the emergency department, and trends in provider satisfaction. During the year 2000, DOR researchers were actively involved in the development of consistent standards for data collected at chemical dependency intake visits, an evaluation of cognitive-behavioral depression groups conducted by telephone for frail elders, the testing of a new brief multipurpose depression scale, the development of a patient satisfaction questionnaire for psychiatric inpatients, and an analysis of trends in suicide rates. Additionally, DOR staff regularly serve on Regional oversight committees and workgroups in the MH/CD area, and provide consultation and other assistance to many Regional projects.

OTHER SERVICES

Administration

The Division of Research provides comprehensive administrative services to DOR personnel as well as to other KP researchers seeking assistance with federal grant and contract research proposal submissions. The administration team is comprised of research administrators, accountants, procurement specialists, information technology specialists, human resource professionals, administrative assistants and administrative managers. Additional support services include a medical record analyst unit, data entry services and library support. The administrative staff members are responsible for grant and contract proposal development and submission in tandem with principal investigators, pre- and post-award financial administration, purchasing, space allocation, payroll, personnel, accounts payable, building operations, and computer support for our extensive local area network.

Breast Cancer Task Force

Dr. Carol Somkin has been an active member of this task force since its inception in 1992. She advises the Task Force on research methods generally as well as policy implications of data on breast cancer incidence, survival, mortality and other aspects of epidemiology that could affect breast cancer care at Kaiser Permanente. Consultations have also been provided in the area of screening guideline development. In 1995, Dr. Somkin directed a major survey of patient satisfaction with breast care in Kaiser Permanente. The results from this survey served as the baseline for a follow-up survey (in 1997) to monitor the effectiveness of improvements made in breast cancer care and services since the first survey, and to identify ways to further improve the breast cancer care and services KP provides to members. In 2000, Dr. Somkin worked with the Task Force to plan the 2001 survey. It is anticipated that the breast cancer patient survey will continue to be conducted on an ongoing basis.

Consultation to Kaiser Permanente Departments, Committees, Investigators, Clinicians

Members of the DOR staff provide consultation to many departments and individuals throughout the Northern California Region. This usually takes the form of assistance to individual TPMG clinicians in selecting a study design or planning sample size for proposals, or of statistical analyses or data interpretation after studies are funded and underway. Each month one of DOR's four biostatisticians takes responsibility for receiving initial requests for statistical consultation. Two DOR staff members, Dr. Barbara Sternfeld and Mary Anne Armstrong, serve on the Central Research Committee that reviews internally generated research proposals throughout the year. DOR investigators serve as members of a number of regional and inter-regional committees and task forces and maintain working relationships with TPMG chiefs' groups and with regional committees related to their research areas. Working relationships currently exist with the Chiefs of Medicine, Gastroenterology, Obstetrics/Gynecology, Neonatology, Mental Health, Chemical Dependency, Cardiology, and Endocrinology. DOR investigators and analysts also report regularly to the Regional Diabetes Steering Group and the Psychiatry Coordinating Committee, and the Breast Cancer Advisory Council. These relationships provide insights to DOR investigators on the practical questions faced by health plan and clinician groups and allow the medical group and health plan to take advantage of the presence of a research department within the HMO.

LIST OF PUBLICATIONS FOR 2000

- Ainsworth BE, Sternfeld B, Richardson MT, Jackson K. Evaluation of the Kaiser physical activity survey in women. Med Sci Sports Exerc 2000;32:1327-38.
- Black S, Lieu TA, Ray GT, Capra A, Shinefield HR. Assessing costs and cost effectiveness of pneumonococcal disease and vaccination within Kaiser Permanente. Vaccine 2000;19(suppl 1):S83-S86.
- Black S, Shinefield H. Vaccines and otitis media. Pediatr Ann. 2000;29:648-51.
- Black S, Shinefield H, Fireman B, Lewis E, Ray P, Hansen JR, Elvin L, Ensor KM, Hackell J, Siber G, Malinoski F, Madore D, Chang I, Kohberger R, Watson W, Austrian R, Edwards K. Efficacy, safety and immunogenicity of heptavalent pneumococcal conjugate vaccine in children. Northern California Kaiser Permanente Vaccine Study Center Group. Pediatr Infect Dis J 2000;19:187-95.
- Bresnahan MA, Brown AS, Schaefer CA, Begg MD, Wyatt RJ, Susser ES. Incidence and cumulative risk of treated schizophrenia in the prenatal determinants of schizophrenia study. Schizophr Bull 2000;26:297-308.
- Brown AS, Schaefer CA, Wyatt RJ, Goetz R, Begg MD, Gorman JM, Susser ES. Maternal exposure to respiratory infections and adult schizophrenia spectrum disorders: a prospective birth cohort study. Schizophr Bull 2000;26:287-95.
- Caan BJ, Flatt SW, Rock CL, Ritenbaugh C, Newman V, Pierce JP. Low-energy reporting in women at risk for breast cancer recurrence. Women's Healthy Eating and Living Group. Cancer Epidemiol Biomarkers Prev. 2000;9:1091-7.
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